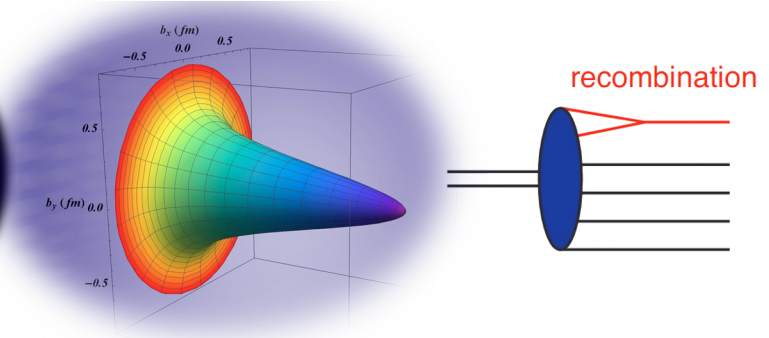
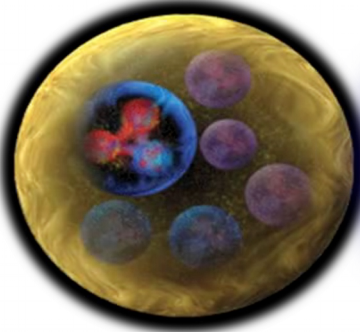
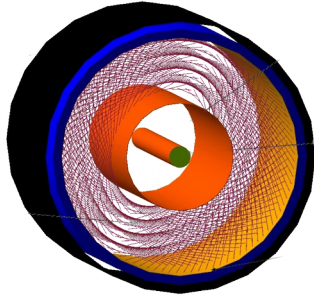
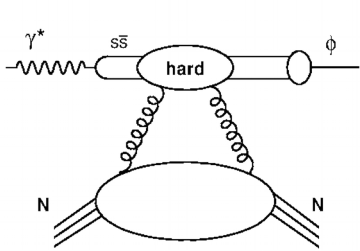


# Lessons from Lepton-Nucleon and Lepton-Nuclei Interactions



*Probing the structure of  
the atomic nucleus*

*Raphaël Dupré*

# Table of Content

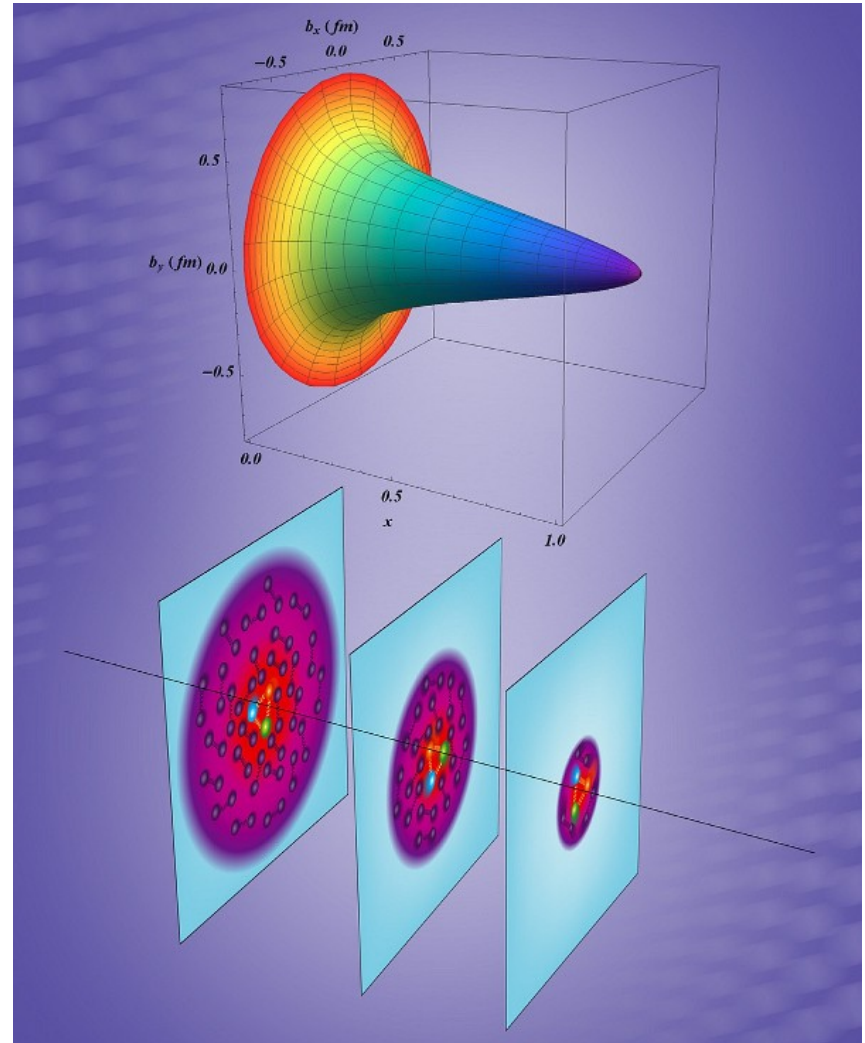
## Lepton scattering on the nucleon

- Overview of the structure functions
- Form factors (FFs)
  - *The proton radius puzzle*
- Parton distribution functions (PDFs)
- Generalized parton distributions (GPDs)
- Transverse momentum dependent PDFs

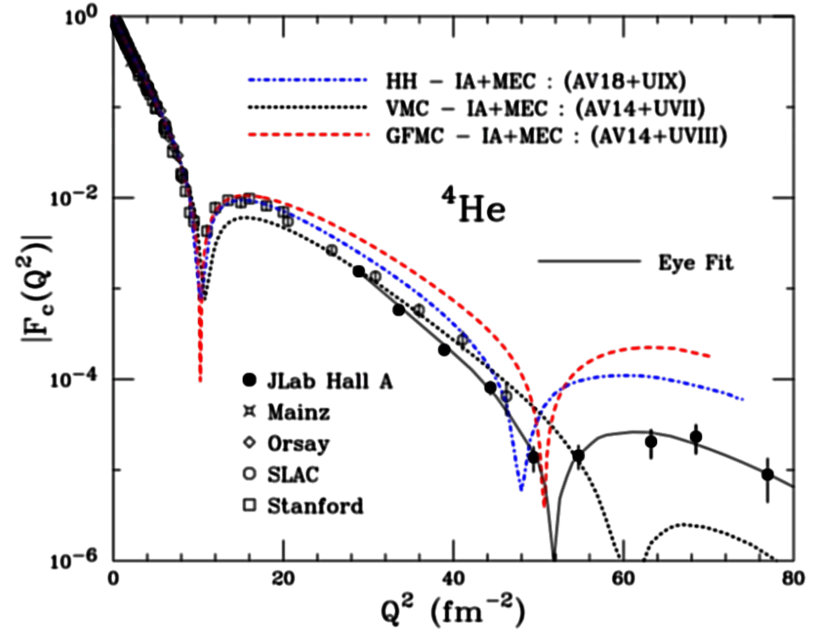
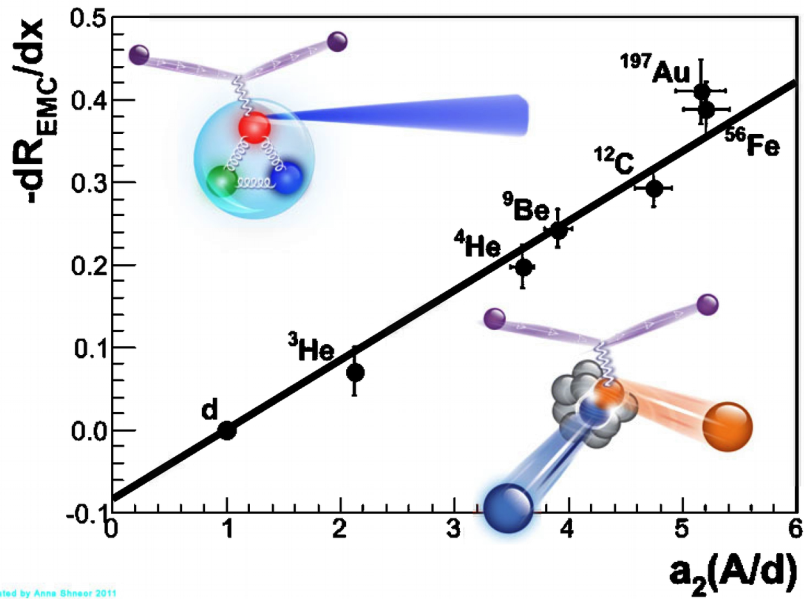
## Lepton scattering on the nucleus

- Treating the nucleus in hadronic physics
- Nuclear FFs
- Nucleon dynamic
  - *Short range correlated nucleon pairs*
- Nuclear PDFs
  - *The EMC effect*
- The nucleus in terms of quarks and gluons

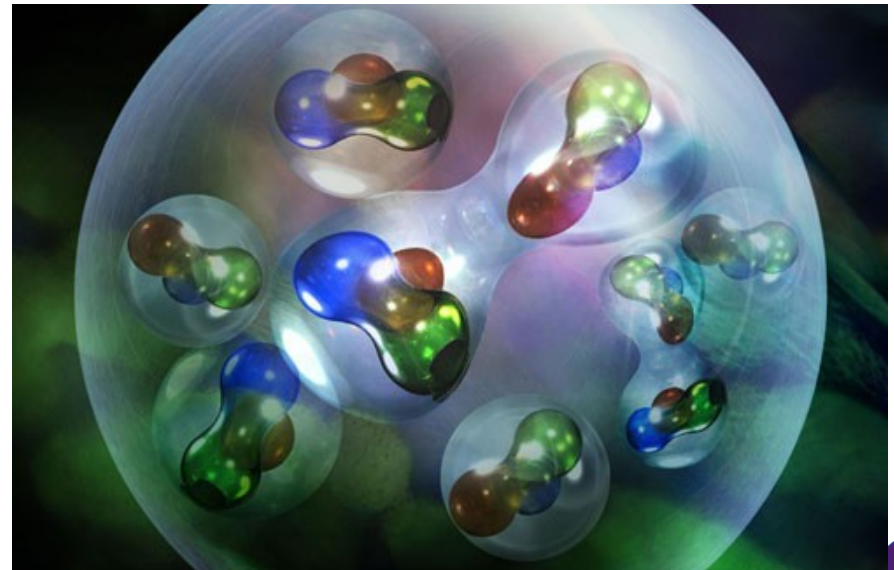
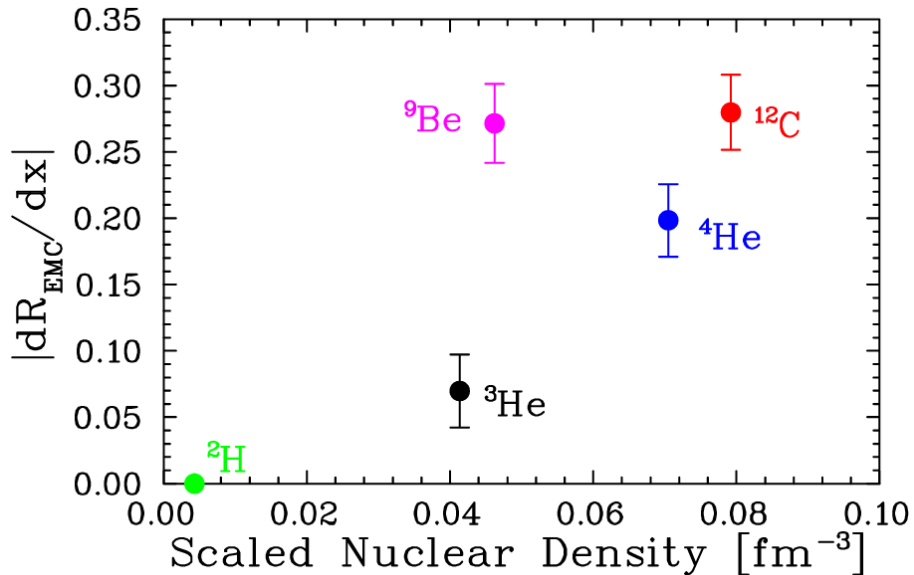
## Summary and perspectives



# Part 2: Lepton-Nucleus



Illustrated by Anna Shteor 2011



# The nucleus in hadron physics

## We have two coexisting pictures

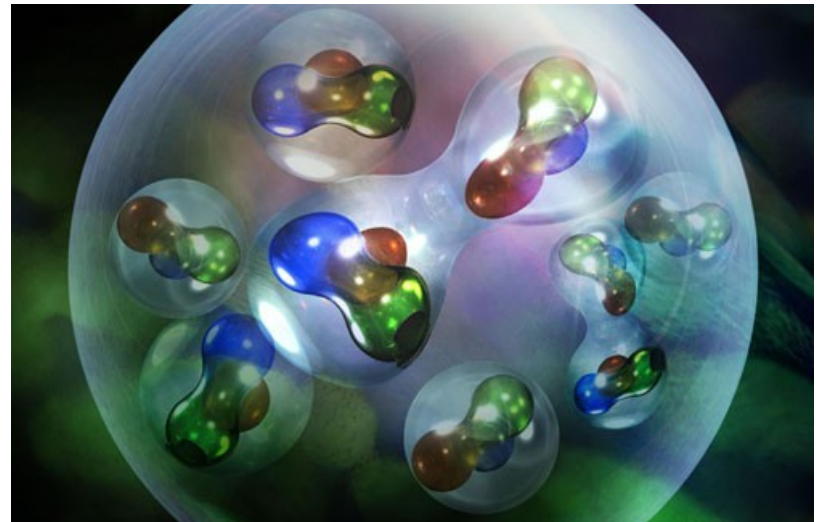
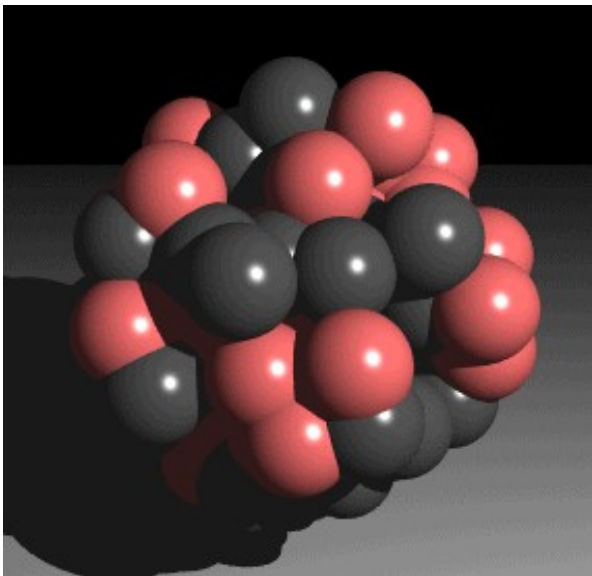
- A nucleus made of protons and neutrons
- A nucleus made of quarks and gluons somewhat bound into nucleons

## Main question:

- What are the right degrees of freedom?

## Answer:

- It depends what you are trying to do



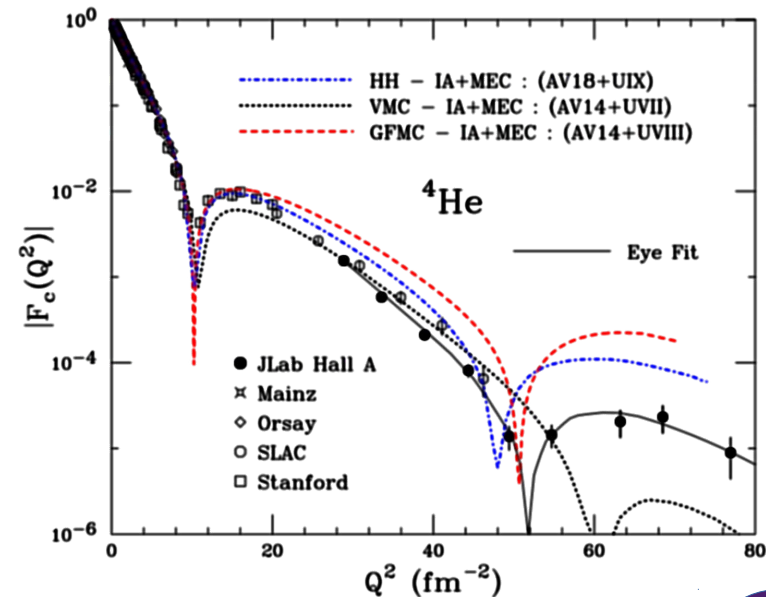
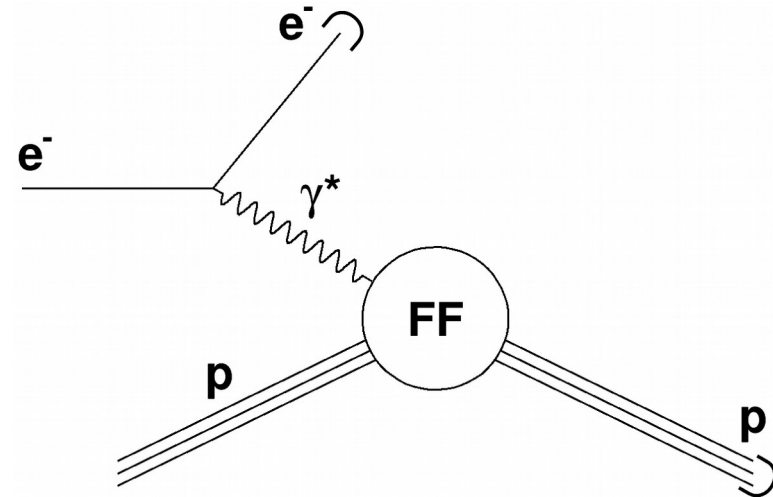
# Nuclear Form Factors

## Very similarly to protons

- Elastic scattering on nuclei provides information on their size and charge distribution
- Large spins give access to non spherical components
- Mostly described in terms of classic protons and neutrons

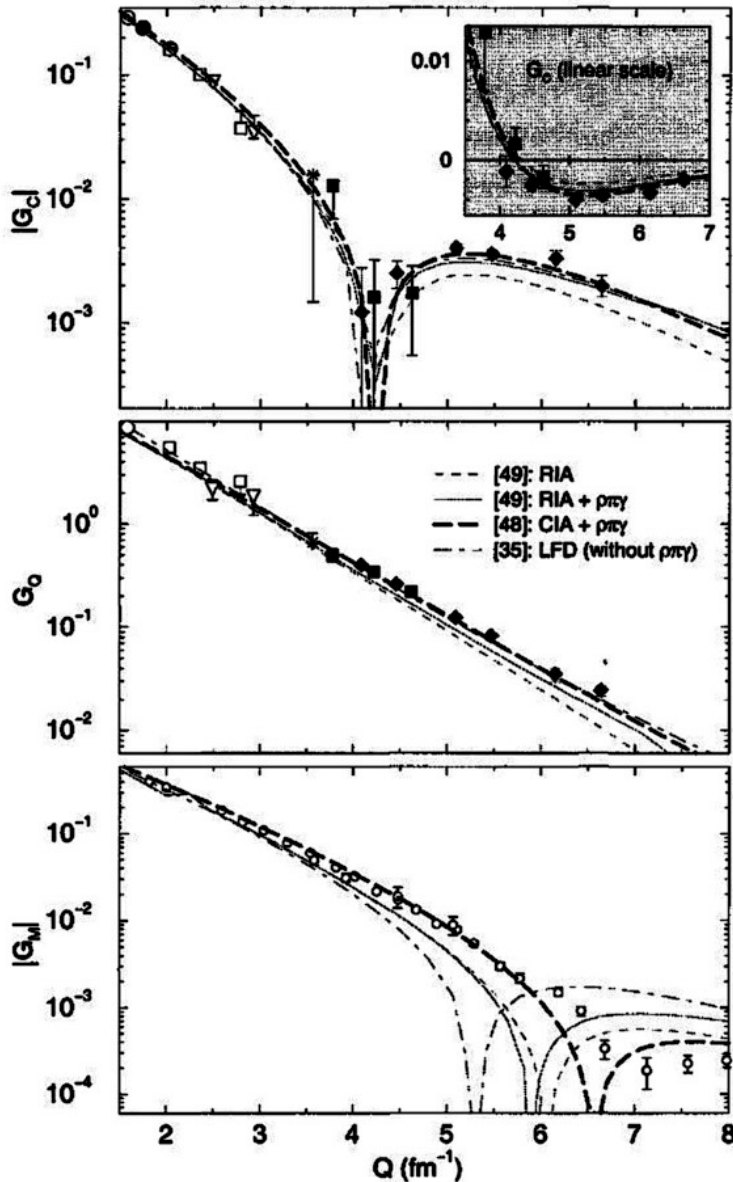
## Large momentum transfer

- Give access to configurations where nucleons are close together
- Raise the question of nucleon overlap and its effect





# Deuteron Form Factors



## Deuterium has an extra form factor

- Allows to measure the quadrupole moment
- Gives access to the shape of deuterium

## Very important measurement in nuclear physics

- Strong constraint on the N-N force
- Necessitate a relativistic treatment of the nucleus

# Nuclear radius

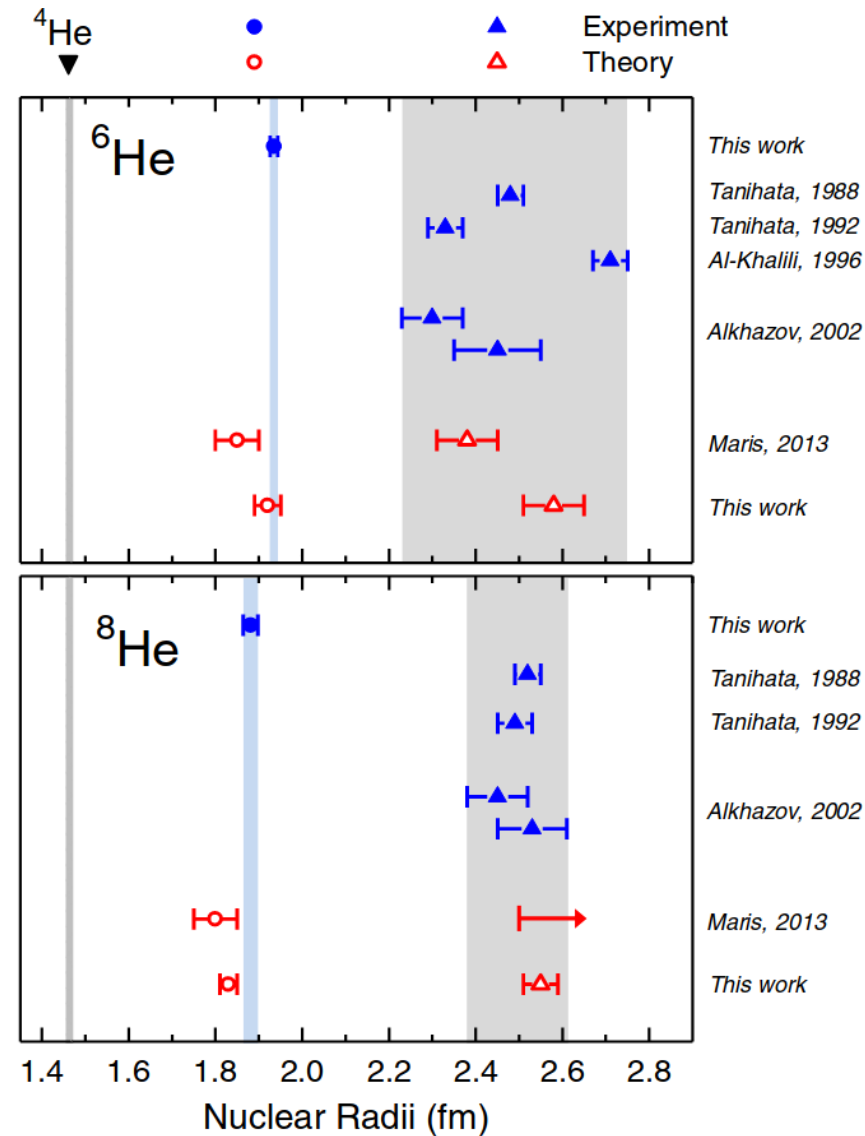
## No puzzle here!

- Muonic atoms of light nuclei do not show any deviation from electronic ones

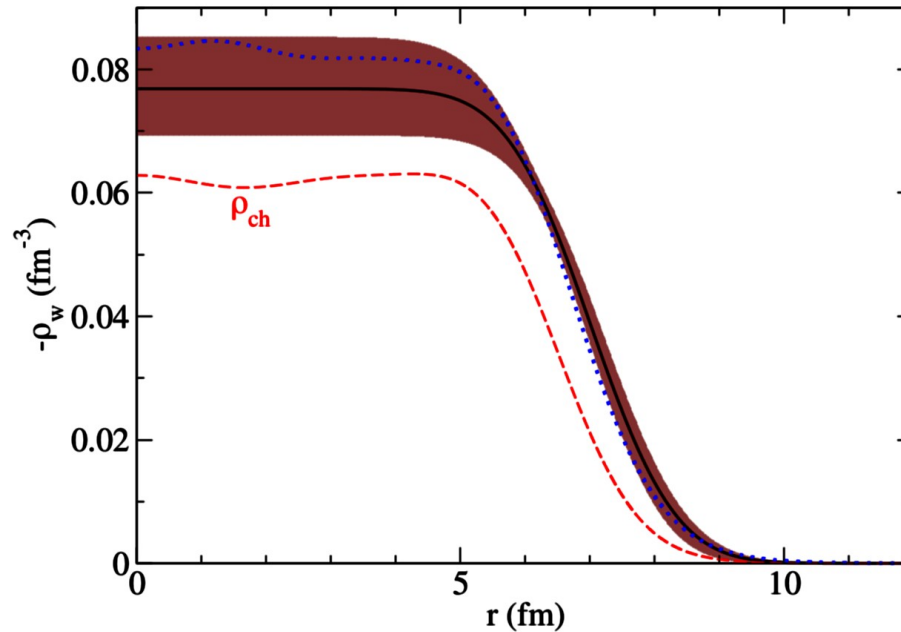
## Radius of unstable nuclei

- Using laser traps and atomic physics measurements
- Combines they allow to measure precisely the radius of unstable nuclei

*Z.-T. Lu et al. Rev.Mod.Phys. 85 (2013) 1383*



# Weak Charge FFs



**Similar than for protons, we can look at nuclear weak charge with parity violating asymmetries**

- In the nucleus, it highlights the contribution from neutrons
- Used to understand the neutron skin of nuclei of interest for low energy nuclear physics

**Can be of importance for other higher energy processes**

- Particularly when surface interactions are dominating
  - *We will discuss some of them later!*



# Quasi-elastic Scattering (QES)

## What is it?

- Elastic scattering on a bound nucleon

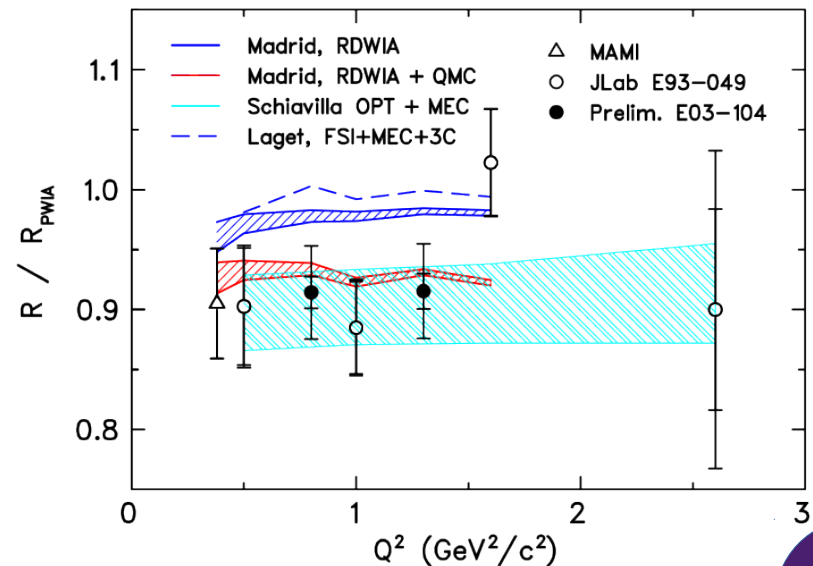
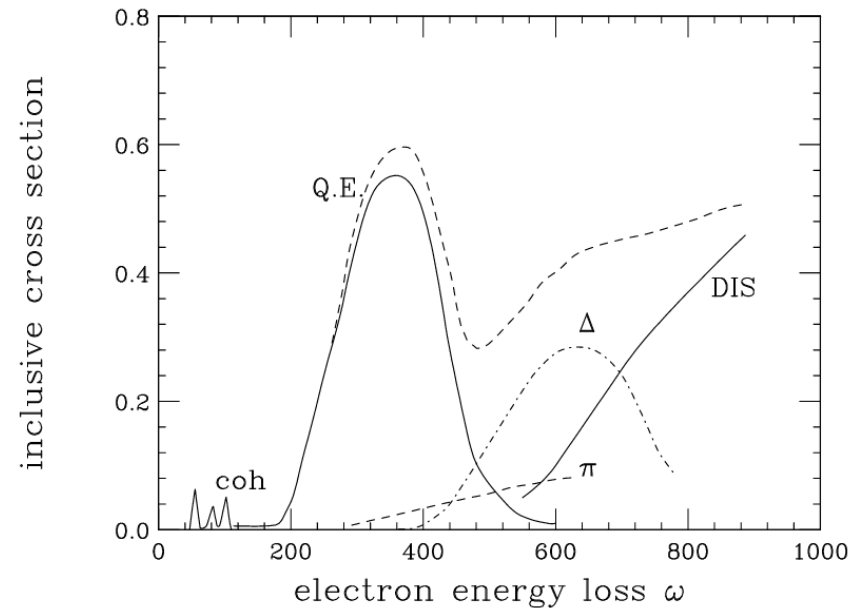
## Are bound nucleons modified?

- It seems so, but there are many caveats
- Reinteractions with nuclear fragments are likely
  - *Final state interactions*
- Initial state nucleons are off their mass shell
  - *We actually measure transition form factors*

## This remains an open question

- Much more to come on the topic

*O. Benhar et al. Rev.Mod.Phys. 80 (2008) 189-224*



# Nuclear Dynamic

## Nucleon kinematics can be inferred from QES

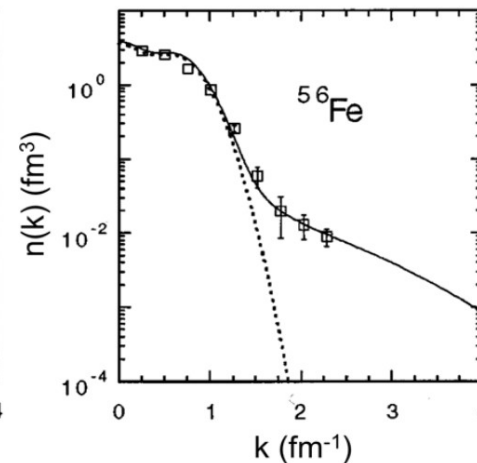
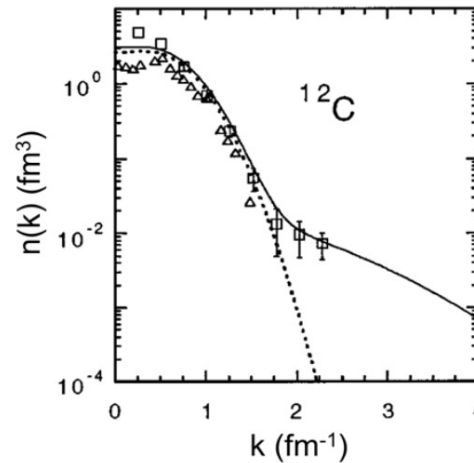
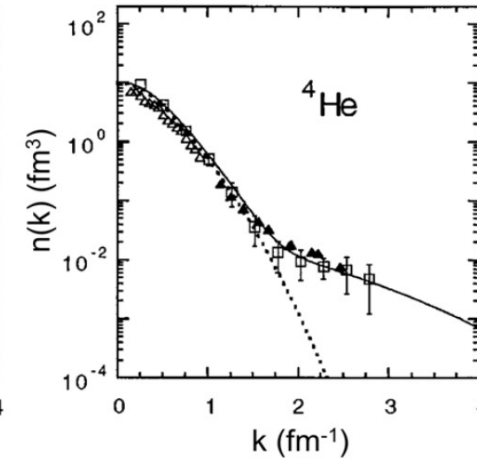
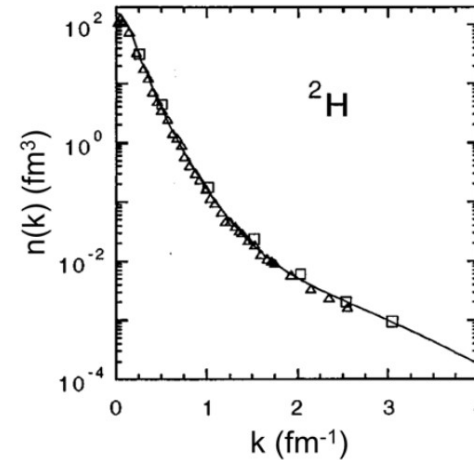
- Using the extra momentum of the reaction
- Similarly to previous discussion this is subject to corrections

## We find two regions

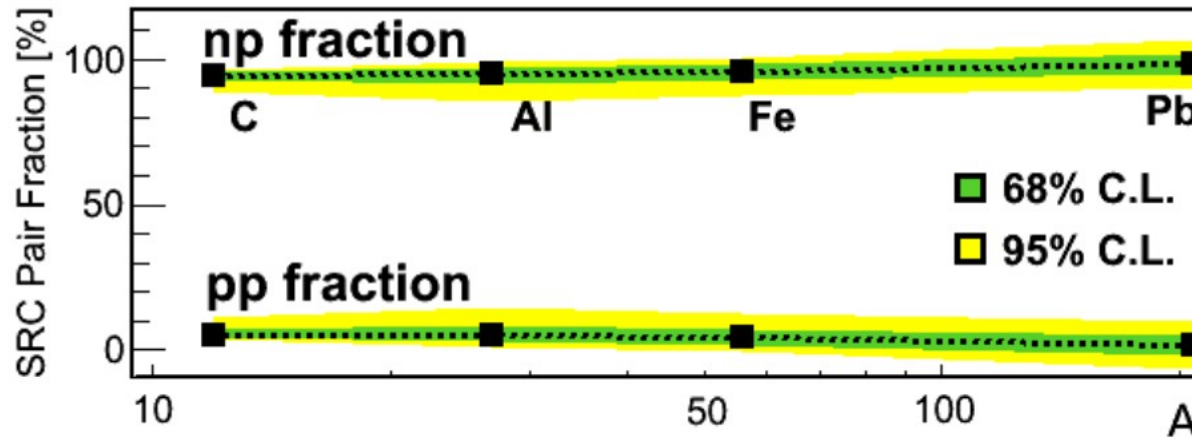
- Low momentum from Fermi motion
- High momentum contribution from short range correlated nucleon pairs (SRC)

## Past but coming back

- Recent calculations of nuclear dynamic are often beyond these methods
- Yet it is making a comeback to look into SRC pairs



# Short Range Correlated Nucleons



## Recent studies of SRC pairs

- They have a universal behavior linked to the NN potential
- They are dominated by np pairs rather than pp or nn
  - *This holds for neutron rich nuclei*
- The tensor nuclear force dominates in this kinematic region

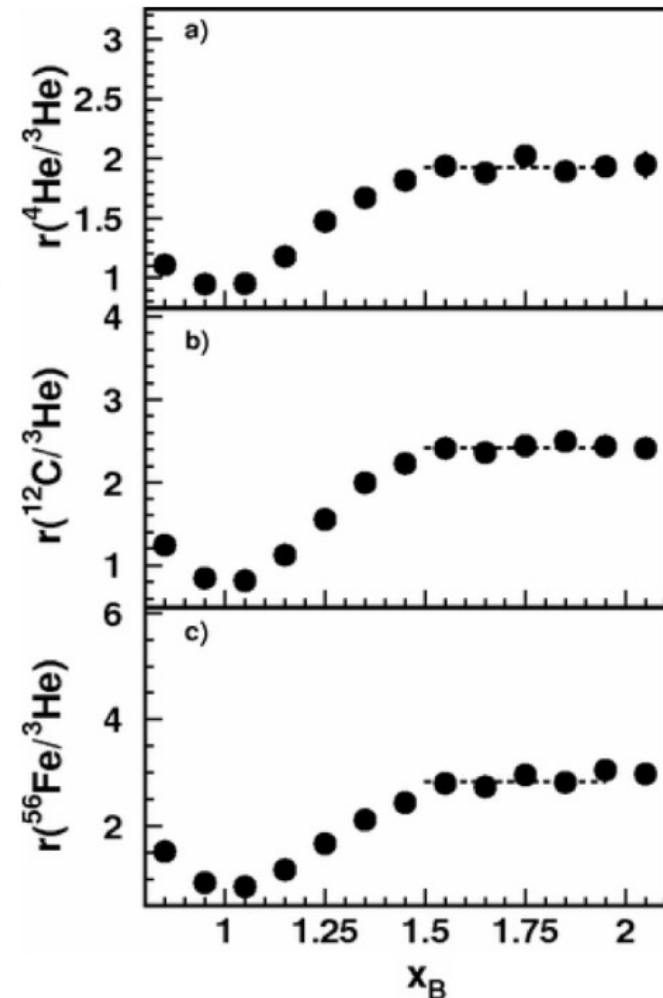
## Can they modify the nuclear structure ?

- More on this later

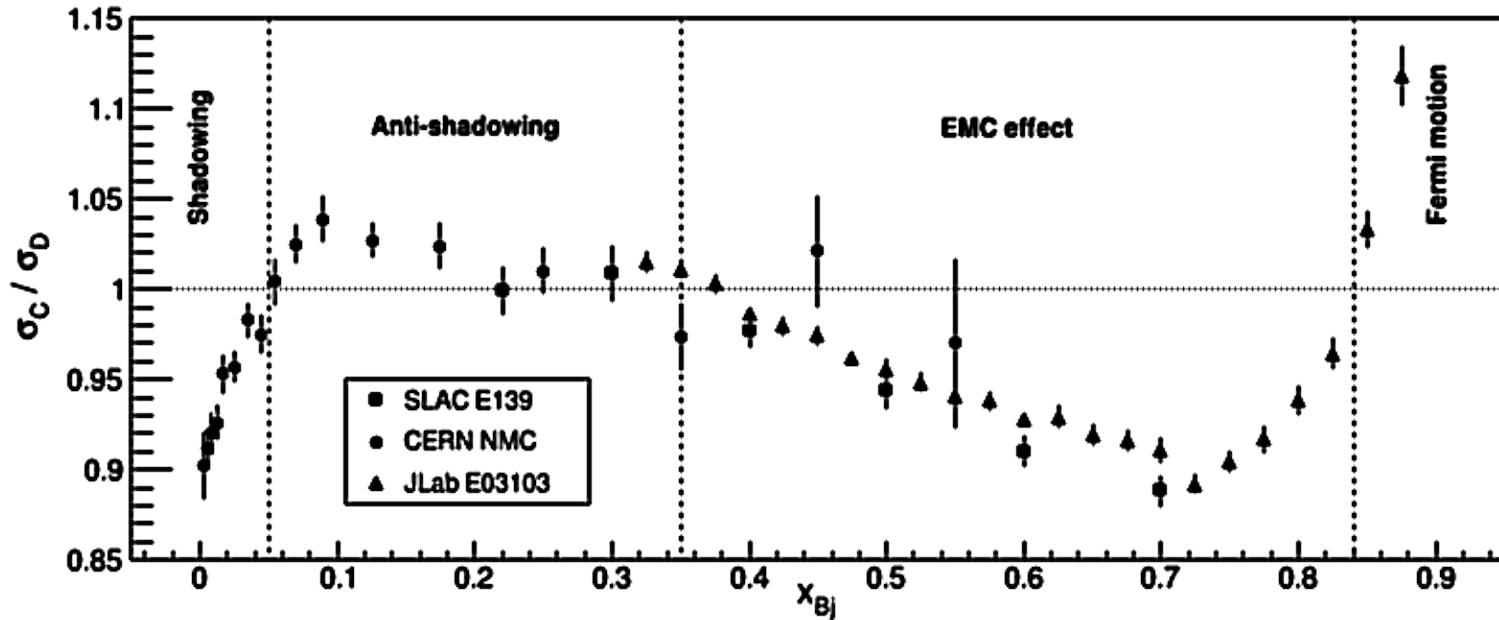
*O. Hen et al. Rev.Mod.Phys. 89 (2017) no.4, 045002*

*C. Ciofi degli Atti, Physics Reports 590 (2015) 1-85*

*L. Frankfurt et al. Int.J.Mod.Phys. A23 (2008) 2991-3055*



# Nuclear PDFs

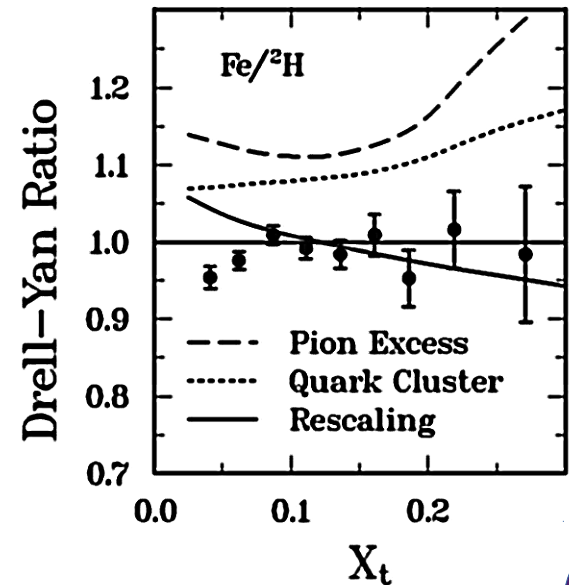


## Measurement of nuclear PDFs was very surprising

- Shadowing
- Anti-shadowing
- EMC effect
- Fermi motion

## Lot of theoretical activity

- Very little consensus



# Nuclear PDFs

## Similar issues than for the nucleon

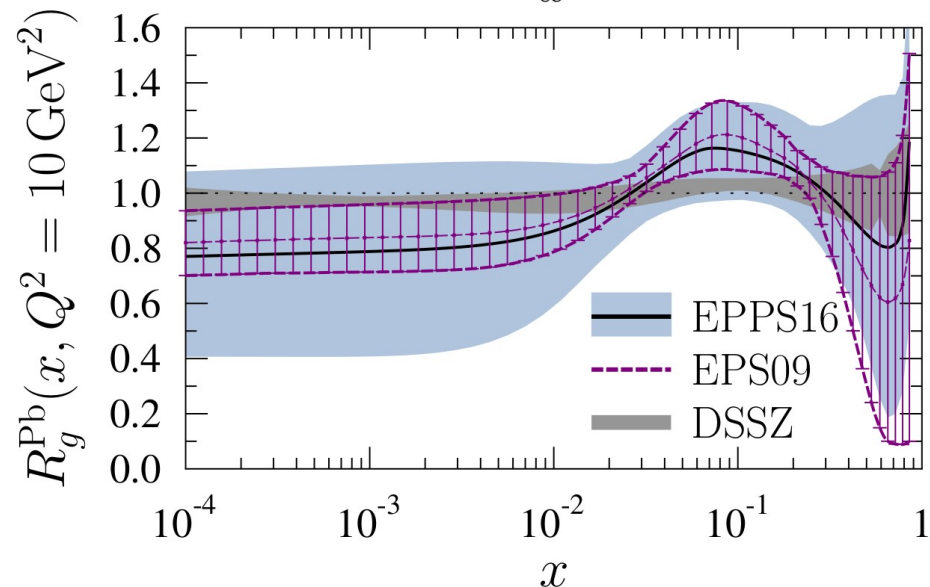
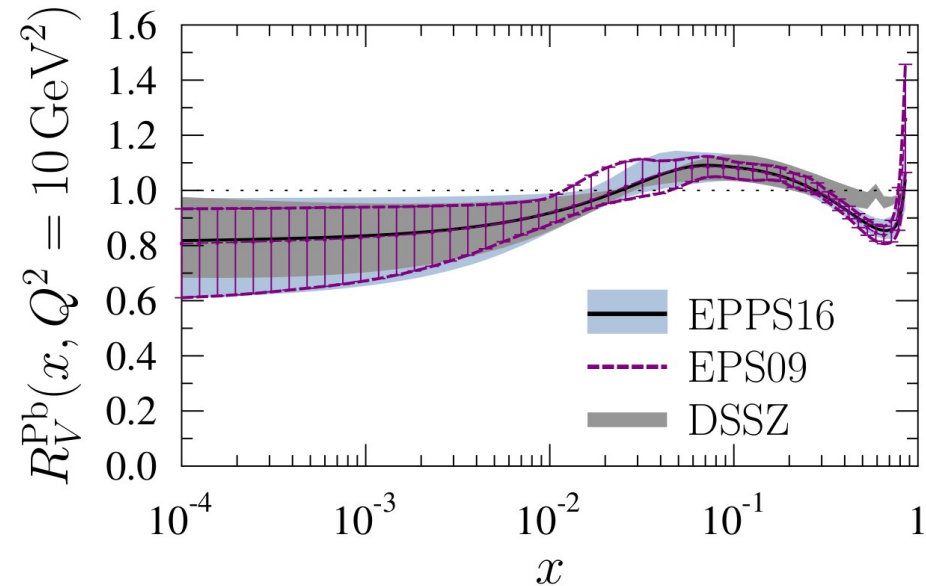
- **Made worst by additional complications**
- **Masses are large**
  - *Either make tighter cuts*
  - *Or apply corrections*

## Amount of data is limited

- **No nuclei in A1 and Zeus**
  - *Hope for an EIC are high for this*
- **Leads to a reduced number of parameters i.e. model assumptions**

## Summary

- **We observe the effects but with limited resolution**
- **Gluons, sea and even d are mostly unknown**



# Shadowing

## Linked to multiple scattering

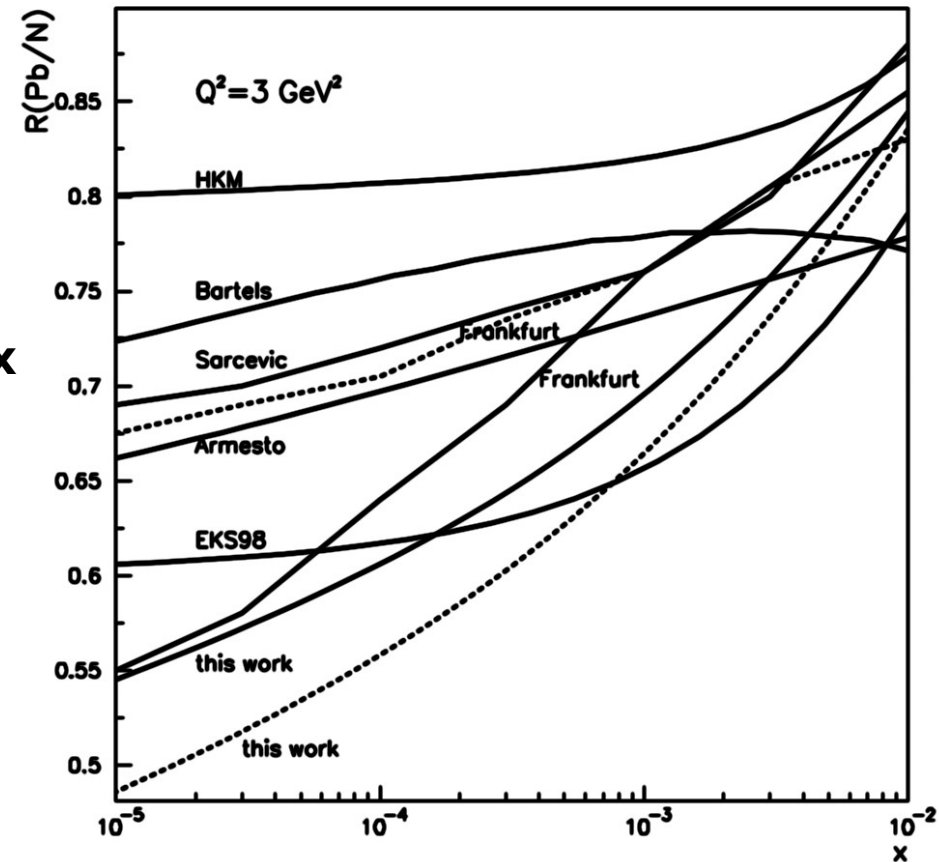
- Screening of some nucleons leads to reduced cross section
- Several calculation methods available
  - Including CGC
- They diverge largely at lower  $x$

## Data is very limited

- Low  $x$  coincide with low  $Q^2$
- Below  $10^{-2}$  is barely explored

## Strong impact on LHC

- Relevant  $x$  range for PbPb collisions at LHC
- Very important phenomena to understand initial state in HIC



*N. Armesto, J.Phys. G32 (2006) R367-R394*



# Anti-Shadowing

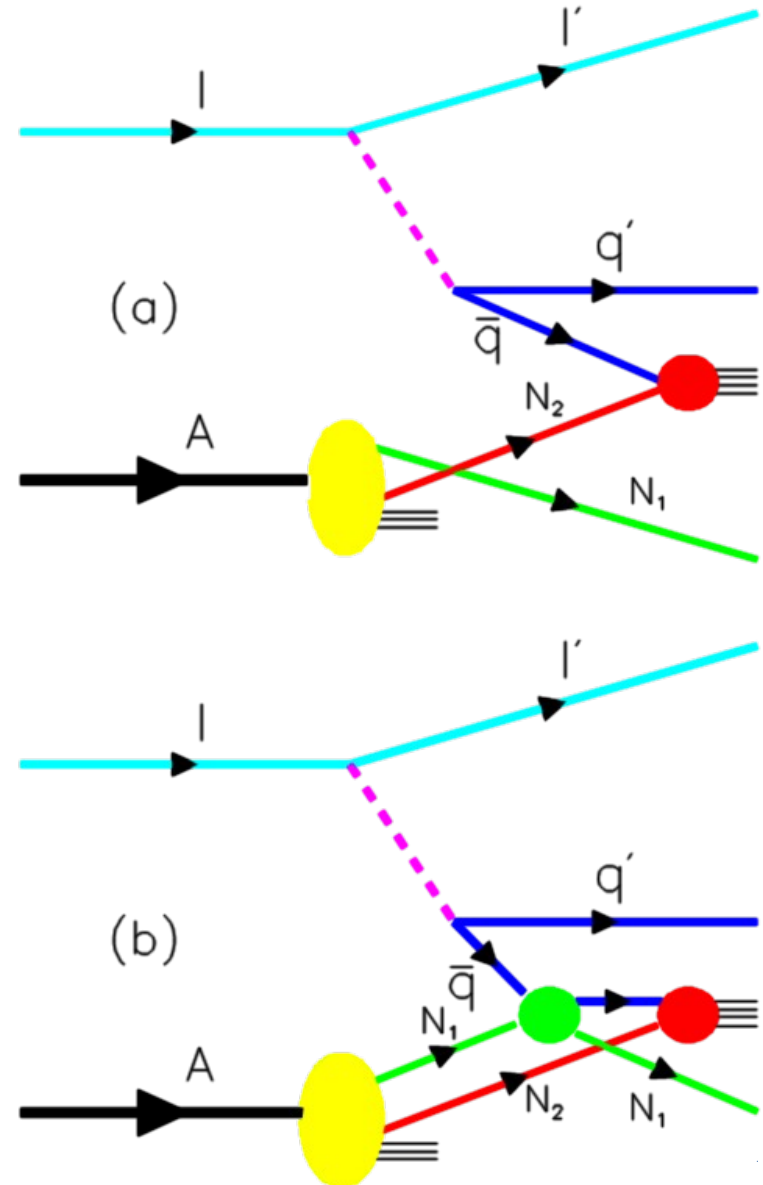
The least discussed nuclear effect

Mostly assumed to be there to satisfy sum rules

- A very unsatisfactory explanation

Few shadowing models have better integration of the effect

- Anti shadowing being the constructive equivalent of the shadowing
- Leads to flavor behaviors still to be experimentally measured
  - *As you will see, flavor dependent effects in nuclei are major issues*



# EMC Effect

## The most studied

- Much data from SLAC and JLab
- Now known at the percent level for many nuclei

## Easily described by models

- It is only a 1D effect
- Many, many models and lots of literature:

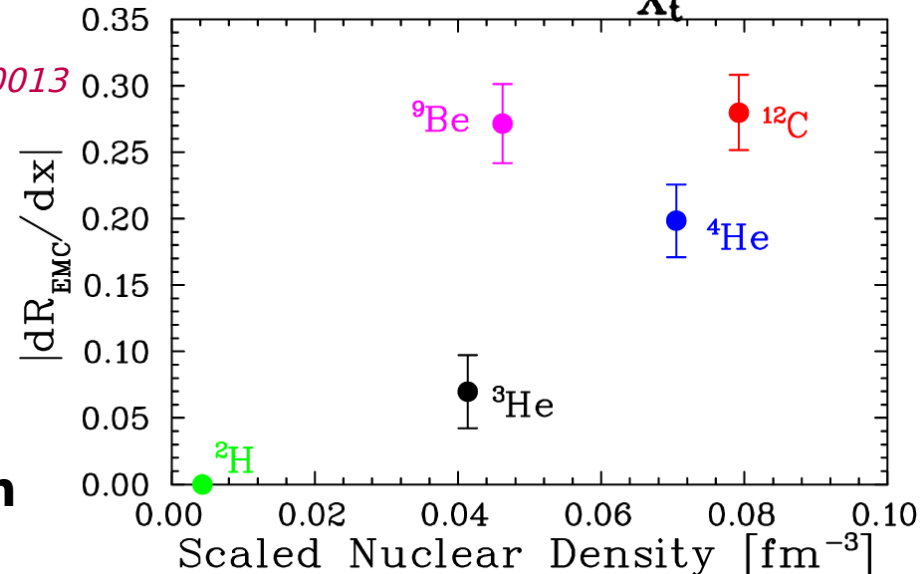
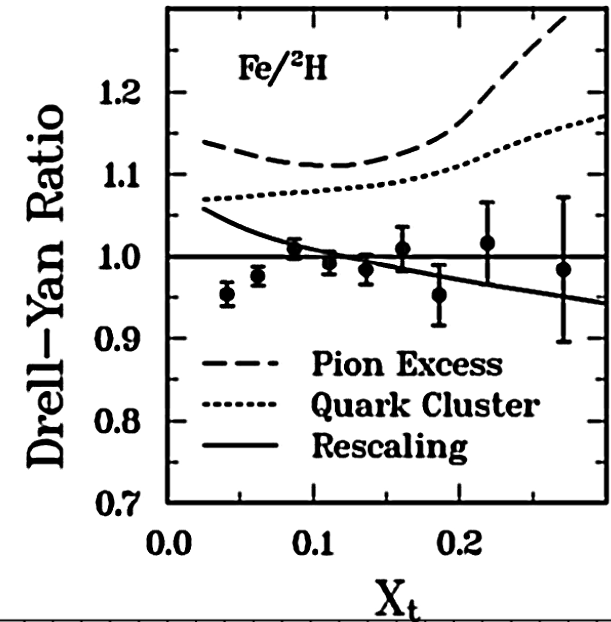
*O. Hen et al. Rev.Mod.Phys. 89 (2017) no.4, 045002*

*S. Malace et al. Int.J.Mod.Phys. E23 (2014) no.08, 1430013*

*P.R. Norton, Rept.Prog.Phys. 66 (2003) 1253-1297*

## First explanations eventually failed

- The most popular involved including pions in the nuclei
- Now the  $A$  dependence is often used to discriminate



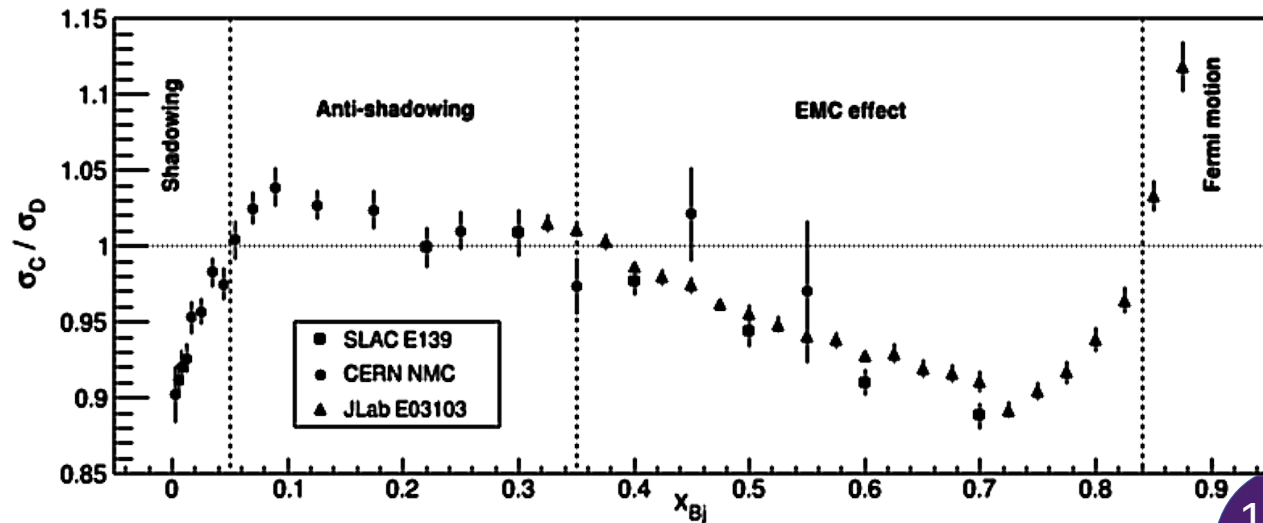
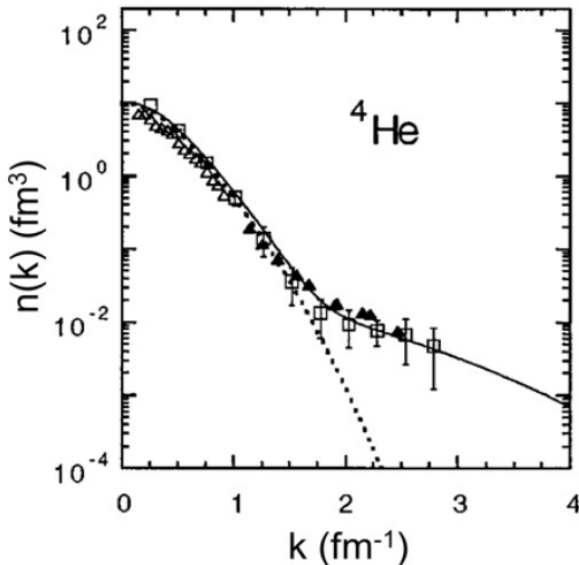
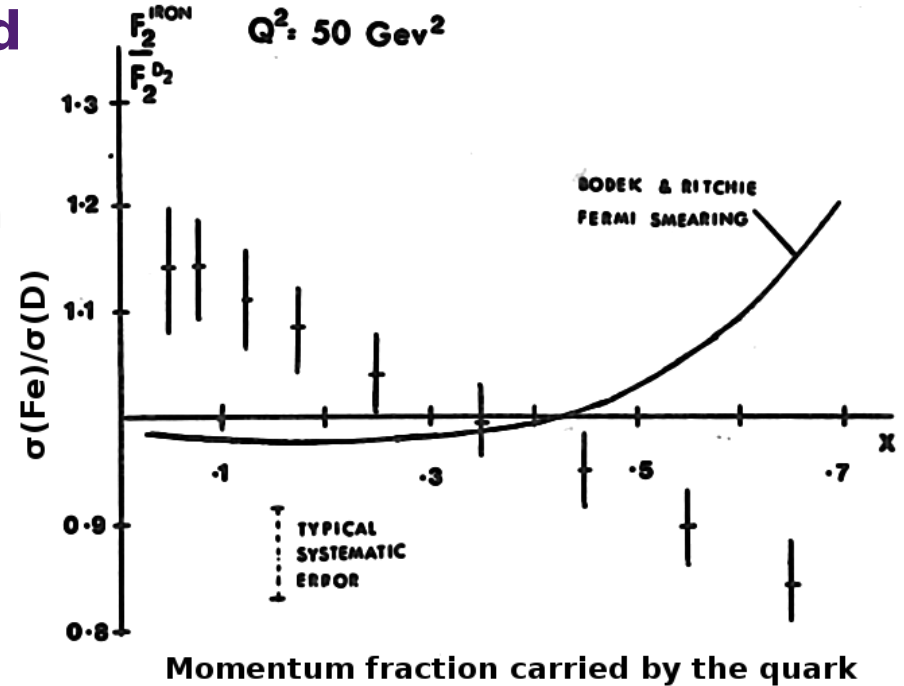
# Fermi Motion

## The only part that was expected

- In experiment however the effect is at higher  $x$
- Meaning the EMC effect is that much stronger

## Beware, this is not as straightforward as it might seem

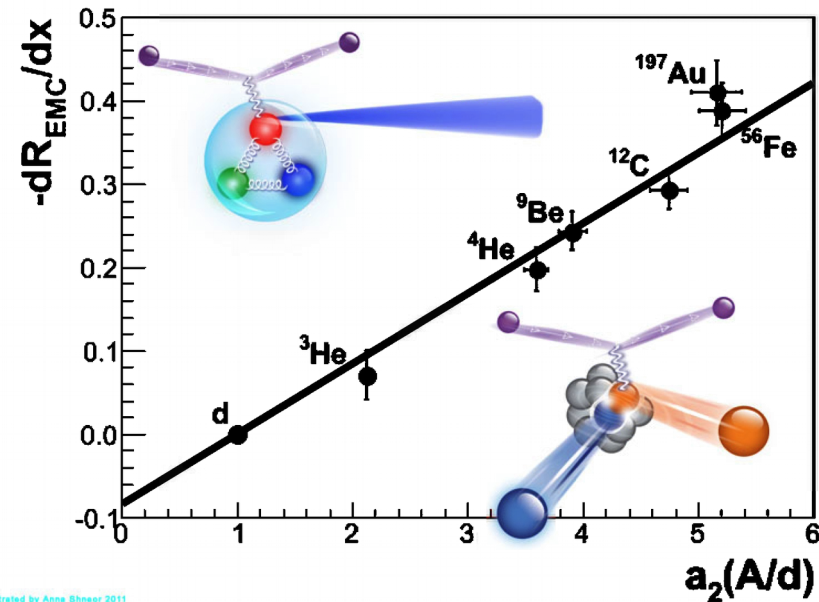
- The momentum distributions in nuclei are not simple
- Fast nucleons should be highly virtual



# Describing the Nucleus

## So why is it so difficult to describe the nucleus?

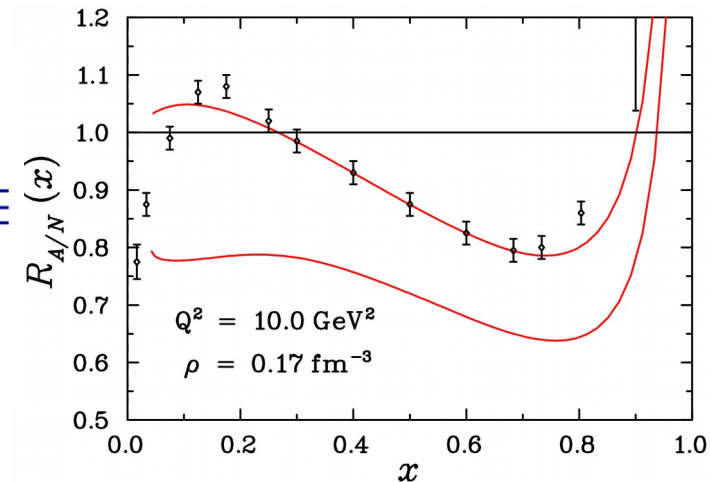
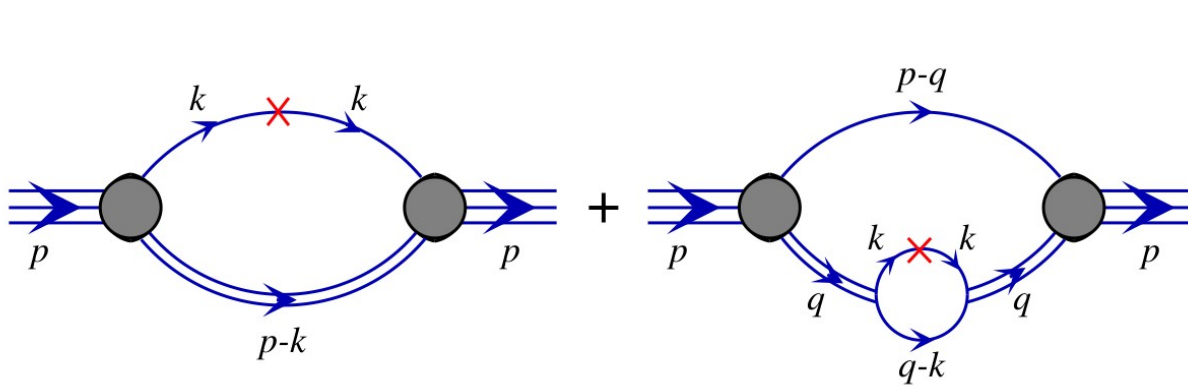
- **There is some overlap between nucleons**
  - *Which may or may not be significant*
- **No one knows what happens to virtual nucleons**
  - *Rescaling  $x$  or  $Q^2$  in nuclei can describe the EMC effect*
  - *They have completely different physics meaning*
- **There might be other objects in the nucleus**
  - *Is the nuclear force affecting the nucleon's structure?*
  - *Are close nucleons forming bound 6, 9 or even 12q bags?*



## At the same time the nucleus is a complex object

- **We are starting to link the low energy nuclear aspects with hadron physics aspects**
  - *At the theoretical level with more and more advanced calculations*
  - *At the experimental level with short range correlation and soon tagging*

# Mean Field Treatment



## One can apply a mean field to nucleons

- Necessitate a model of the nucleon
- Here the nucleon is modified by the scalar mean field

## The nucleon wave function gets modified

- Hopefully you obtain a good description of the EMC effect
- Mean field treatment is unable to describe light nuclei
  - Which we know better in principle
- However they can make predictions for other observables
  - Polarized EMC effect here for example

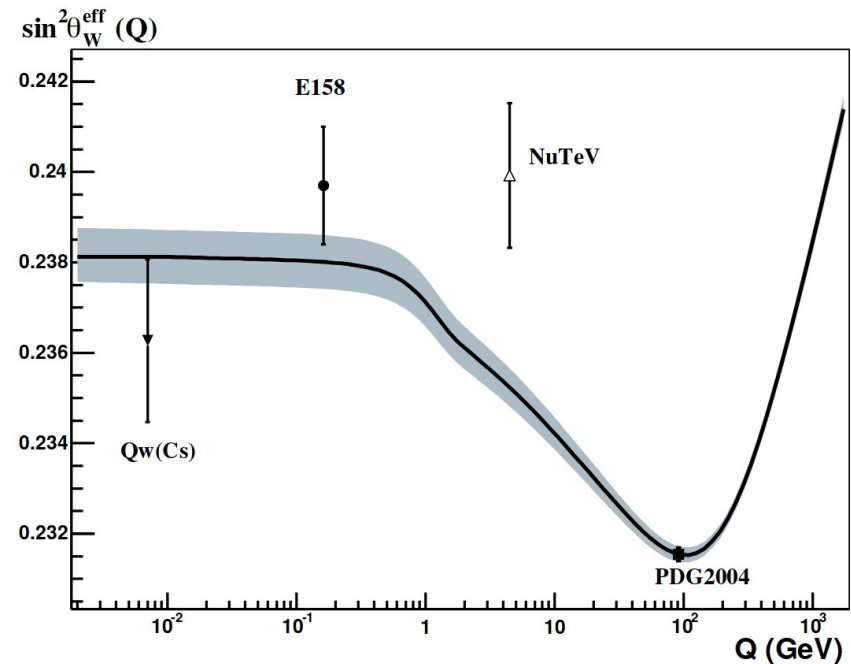
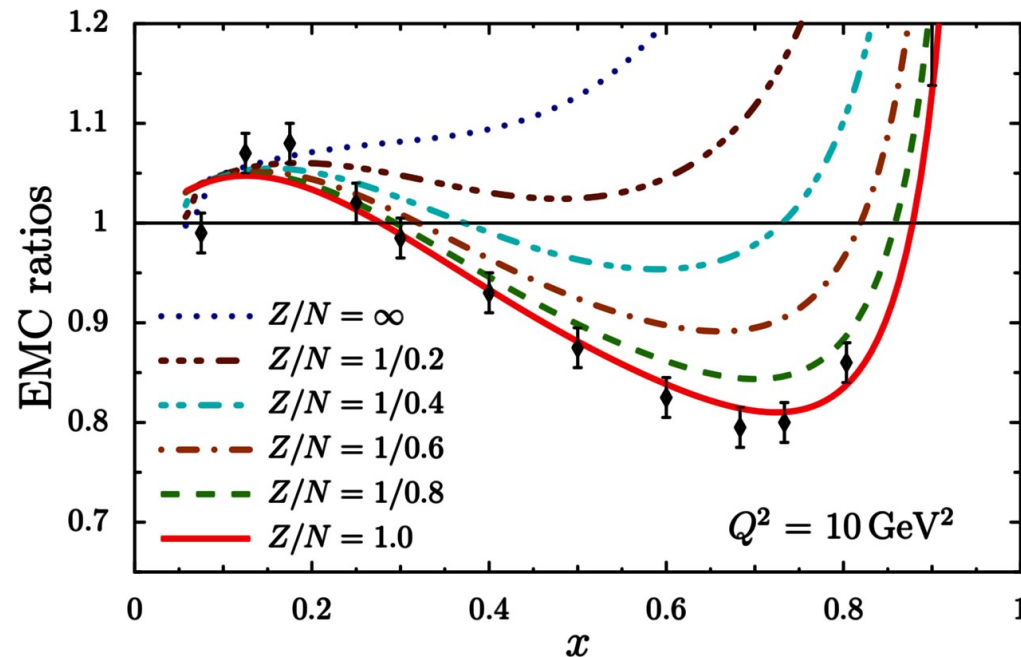
Model from I. Cloet et al. Phys.Rev.Lett. 95 (2005) 052302

# Nutev Anomaly

## The same model predicts important flavor effects

- These can have major impact on experiments
- The Nutev anomaly is a famous example
  - Nutev is a neutrino scattering experiment in Fermi Lab
  - They measured weak mixing angle and found a discrepancy
  - The problem appears to be that neutrino scattering experiments use nuclear targets, which flavor structure is unknown

## One could see Nutev as the first evidence of such flavor dependent nuclear effect





# Rescaling

## Rescaling models had a great deal of success

- They consist on shifting the bound nucleon PDFs
- Because of evolution, it can be done either for  $x$  or  $Q^2$

## $Q^2$ Rescaling $\rightarrow$ Nucleon size increased

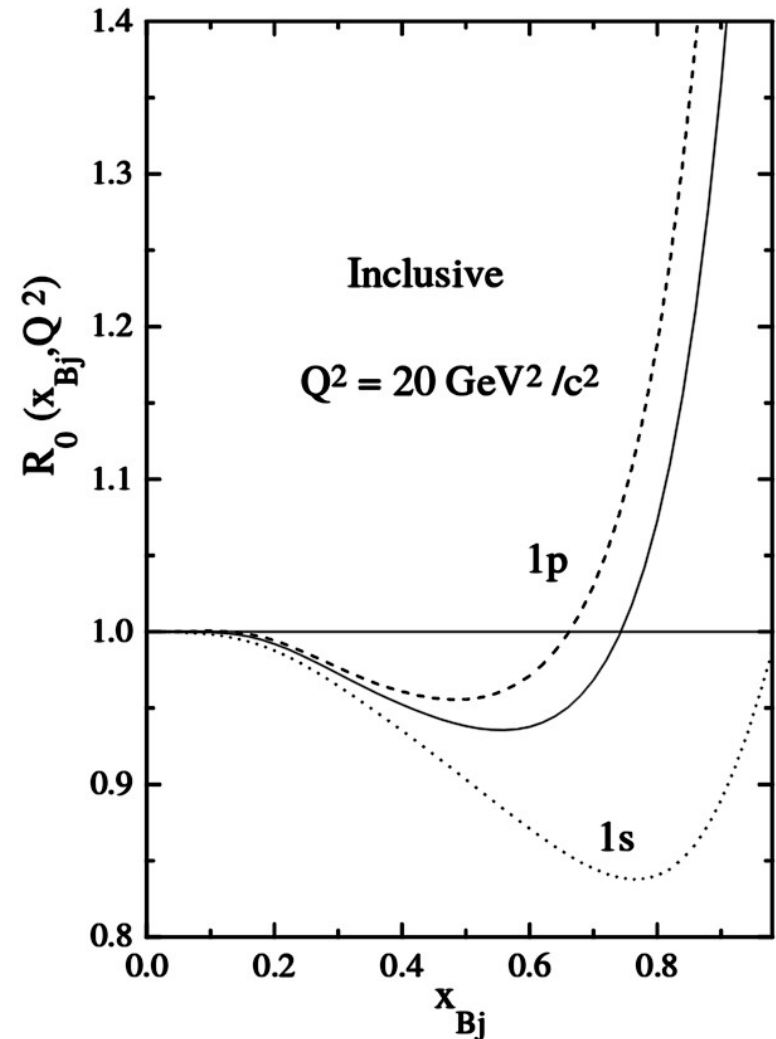
$$F_2^{N/A}(x, Q^2) = F_2^N(x, \xi_A(Q^2)Q^2)$$

## $x$ Rescaling $\rightarrow$ Binding reduce quark's momentum

$$F_2^{N/A}(x_A, Q^2, p_1^2) = F_2^{N/A}\left(\frac{x_{Bj}}{z_1^{(A)}}, Q^2\right)$$

$$p_{10} = M_A - \sqrt{(M_{A-1} + E_{A-1}^*)^2 + \vec{P}_{A-1}^2}$$

$$z_1^{(A)} = (p_{10} + |\vec{P}_{A-1}| \eta \cos \theta_{\vec{P}_{A-1} \vec{q}}) / M$$



# Future of Nuclear Exploration

## Understand the nuclei and bound nucleons

- More measurements of the EMC effect are planned
- Most importantly, new observables are being measured

## Polarized structure functions

- Highlights some important effects
- Complicated because they are diluted in large nucleus

## Using 3D GPDs and TMDs on nuclei

- Experimental challenge taken over in the past few years

*R. Dupré and S. Scopetta, Eur.Phys.J. A52 (2016) no.6, 159*

## Correlating dynamic with PDFs

- The goal of new tagging measurements
- Detecting nuclear fragments at the same time as the hard scattered electron

# GPDs & Nuclei

## Nuclei give control over the spin

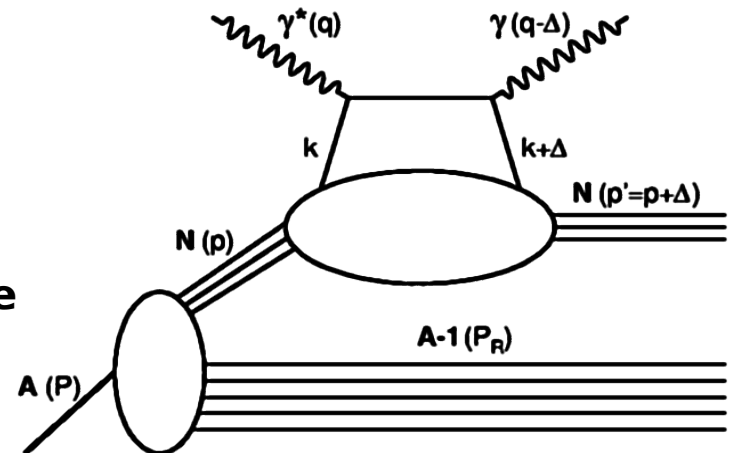
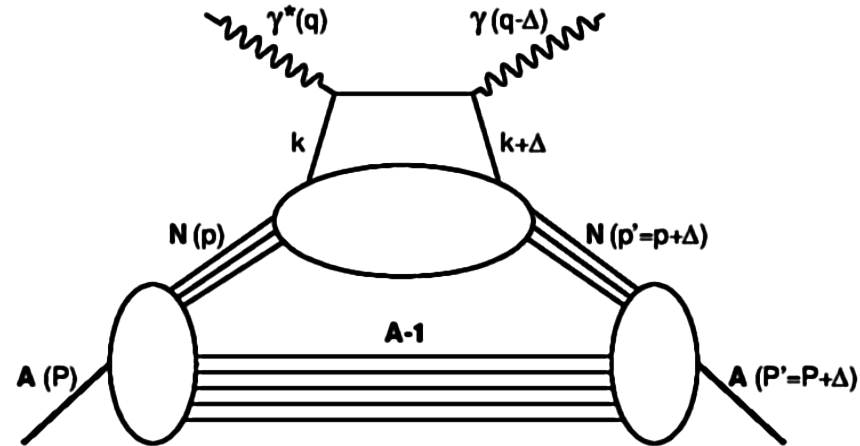
- Spin-0 → 2 GPD
- Spin-1/2 → 8 GPDs
- Spin-1 → 18 GPDs
- Half intervene in DVCS

## In the nucleus two processes

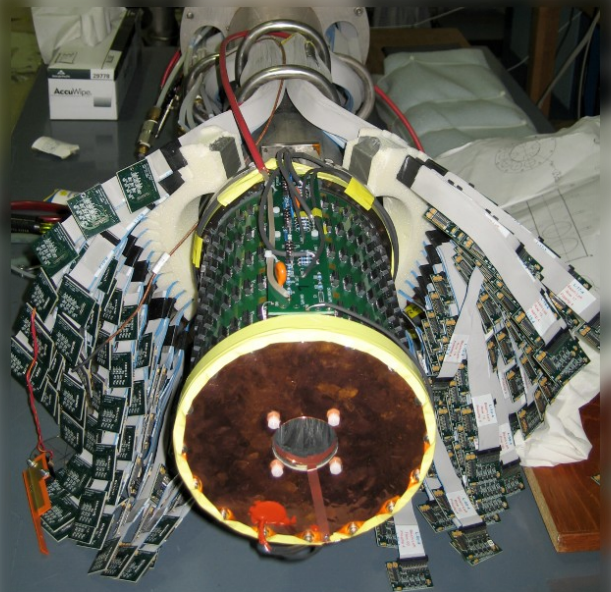
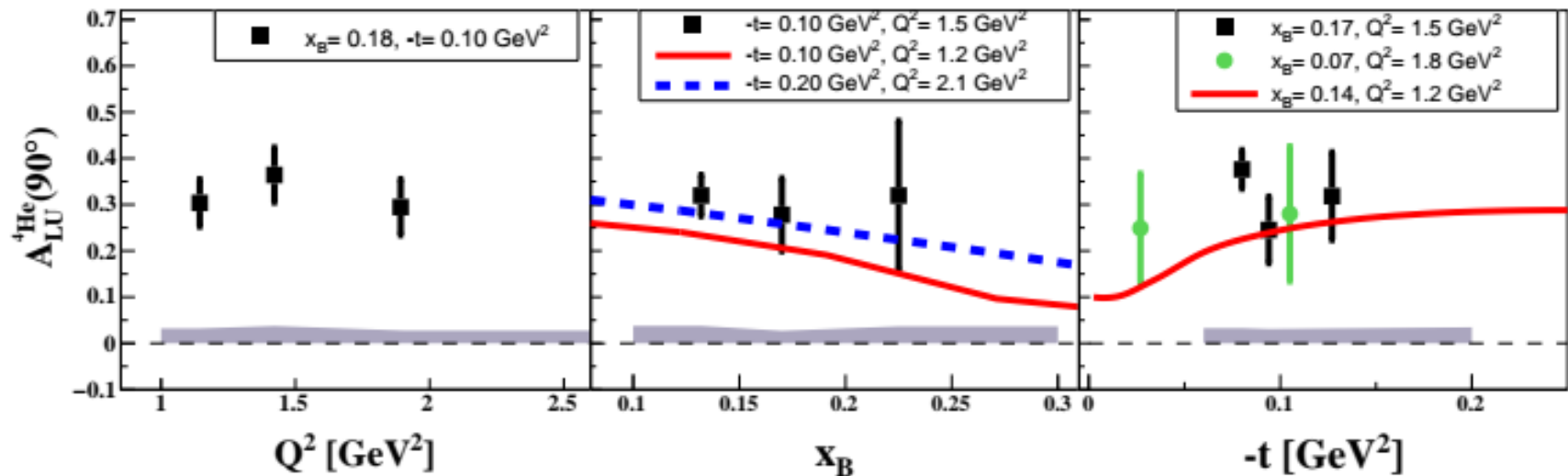
- Coherent and incoherent channels
  - *Similar to elastic and quasi-elastic*
- Give a global view and a probe of the components

## A perfect tool to study the EMC effect

- Offer localization with the  $t$  dependence
- Coherent DVCS gives access to non-nucleonic degrees of freedom
- Incoherent DVCS gives access to the modifications of the nucleon



# CLAS Coherent DVCS



## Coherent DVCS on helium

- Measured at CLAS
  - Use recoil detector to ensure exclusivity
- Shows very strong beam spin asymmetry

## Interpretation

- Very strong signal proves that we have the nuclei as a whole

## Easy direct GPD extraction

- Helium has a single GPD

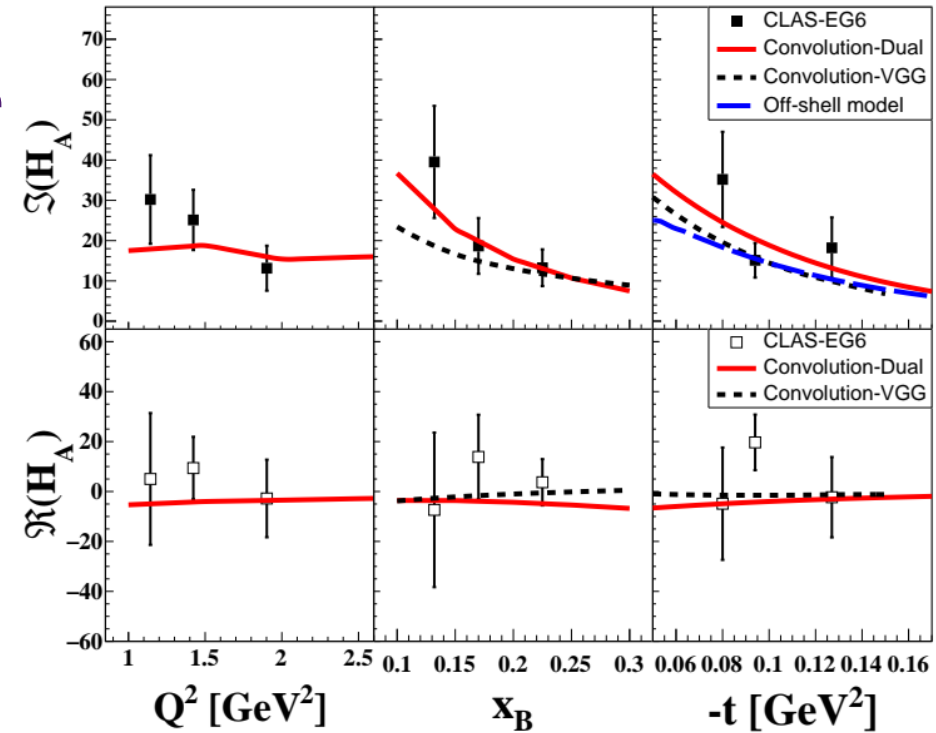
# Extraction of the CFF

## Helium allows for a simple extraction

- Spin-0  $\rightarrow$  1 GPD/CFF

## Different contributions from $Im$ and $Re$ in $\phi$

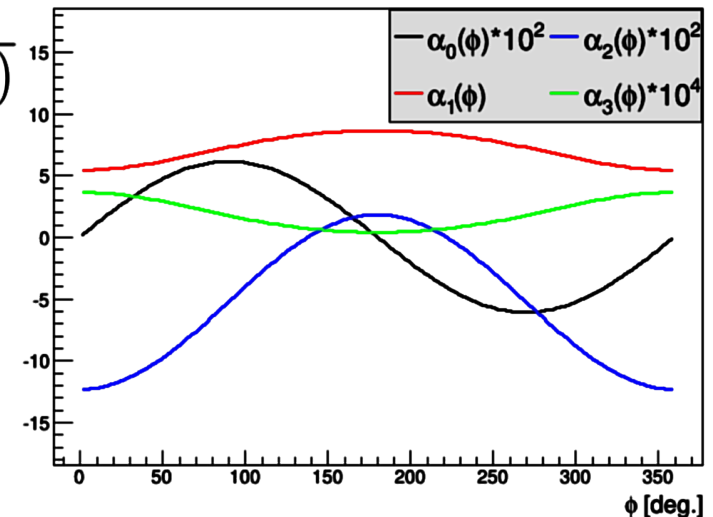
- These are calculable within perturbative QCD
- Allows to separate their contributions



$$A_{LU}(\phi) = \frac{\alpha_0(\phi) \Im m(\mathcal{H}_A)}{\alpha_1(\phi) + \alpha_2(\phi) \Re e(\mathcal{H}_A) + \alpha_3(\phi) (\Re e(\mathcal{H}_A)^2 + \Im m(\mathcal{H}_A)^2)}$$

## Works very well

- We are mostly sensitive at the imaginary part
- More statistics will help with binning and the real part of H



# CLAS Incoherent DVCS

## Measurement of CLAS again

- Proton bound in helium target

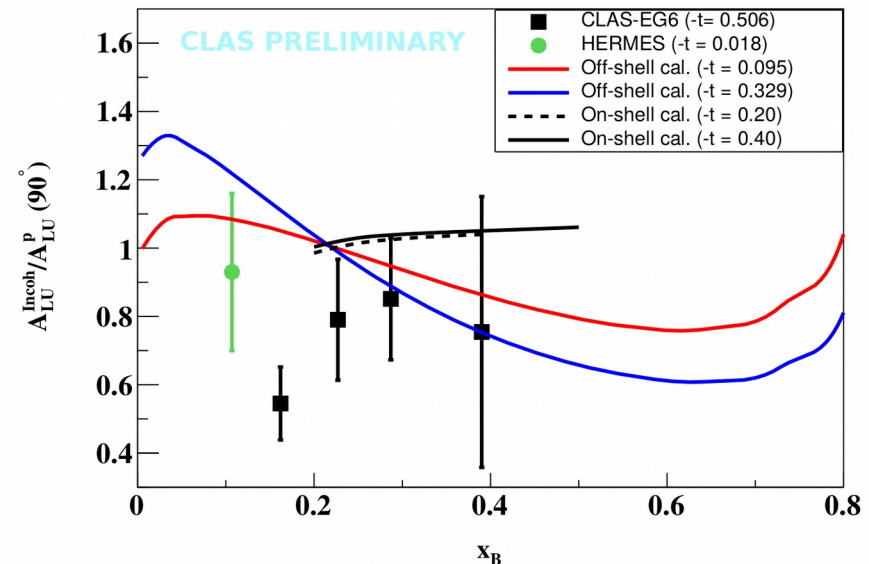
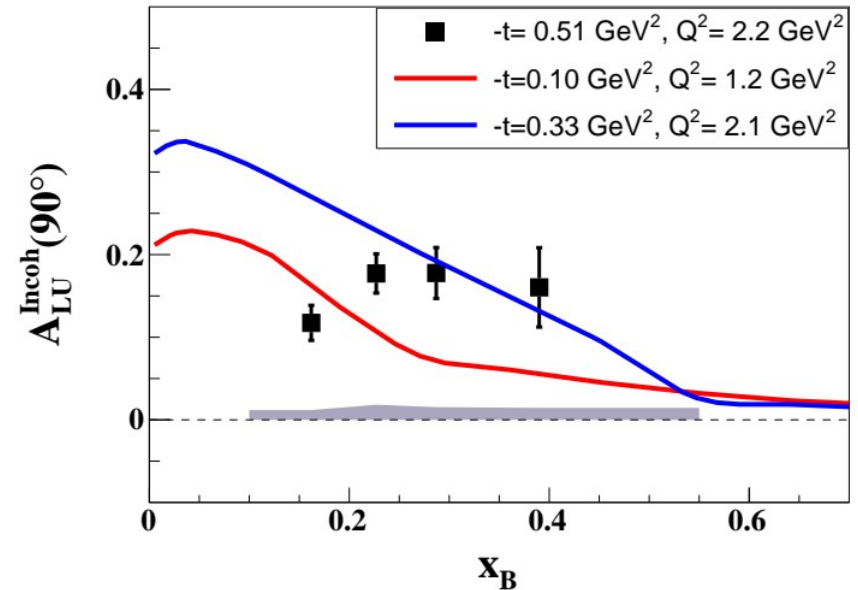
## Gives a generalized EMC

- Strongly suppressed in particular in the anti-shadowing region
- Strange behavior compared to the models

## A New kind of EMC effect?

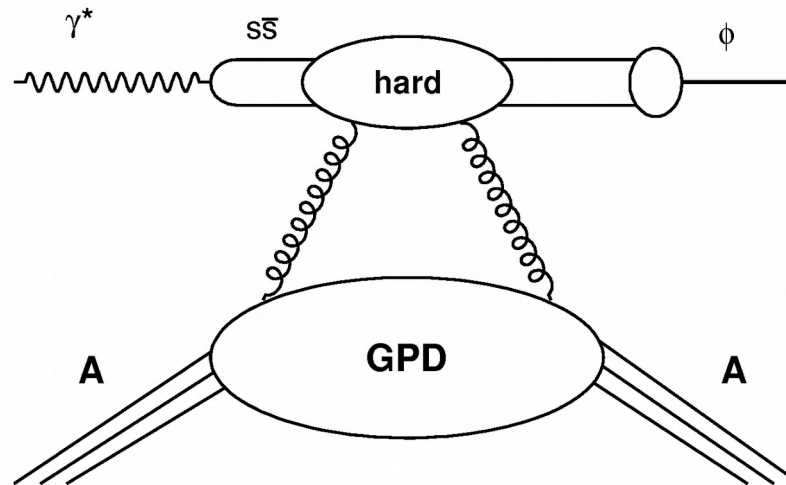
- It could be a nuclear effect
- Or it could be due to final state interactions
  - *Can be very complicated in DVCS*

Theoretical work still ongoing on these questions





# Gluon GPDs



## Can we measure the gluon GPDs?

- Possible through the exclusive production of vector mesons
- These are directly produced from the photon

## If the vector meson is heavy enough

- Strange, or even better charm, composed
- Quark exchange is then highly suppressed
- Lead to a handbag diagram with gluons

## This works can work for protons and nuclei

- Of special interest in nuclei as we know very little about gluons
- Are gluons subject to some nuclear modifications?

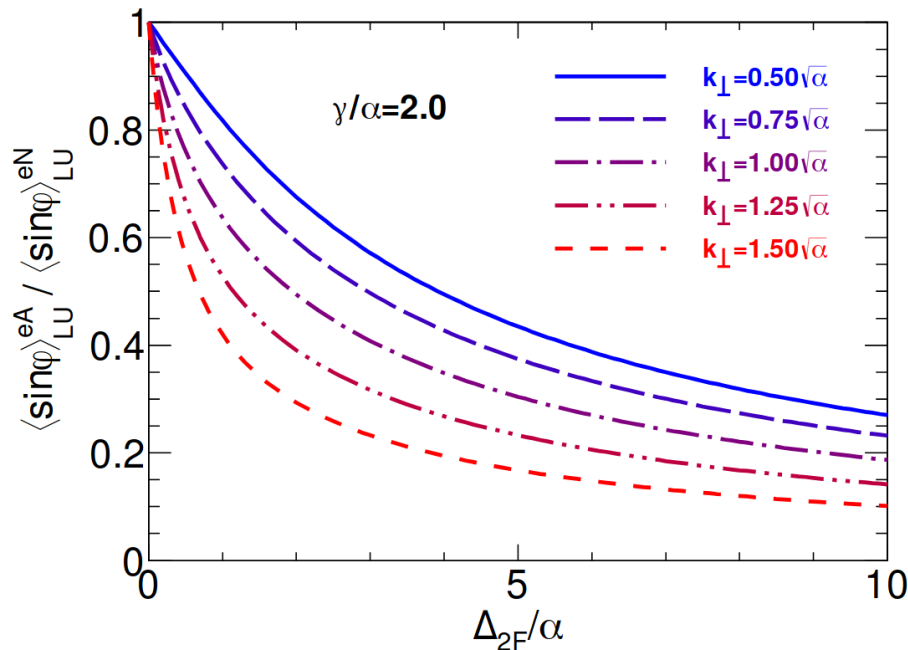
# Nuclear TMD

## Theory only, no experimental data

- But an important prospect
- Similarly to GPDs can offer an insight in nucleon modifications in medium
- Offers a view into the transport coefficient of the nuclear matter
  - A controversial question with variations of an order of magnitude between theoretical extractions from data

## Asymmetries generated at the partonic level

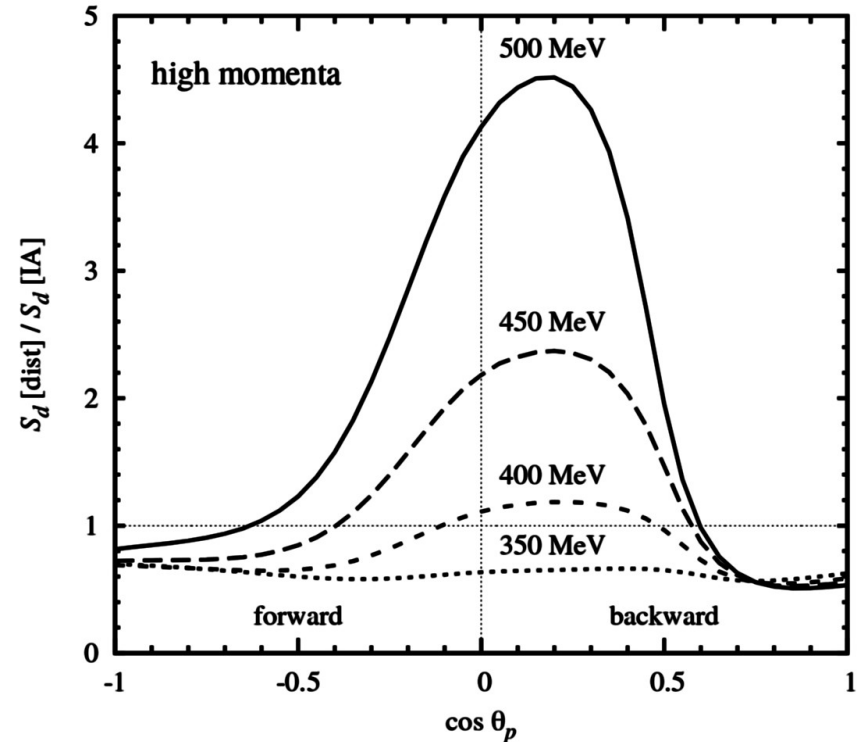
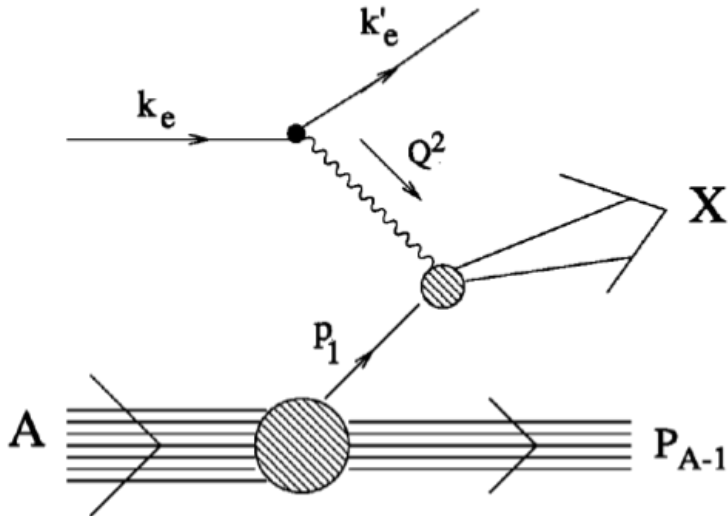
- Independent of final state effects



$$\Delta_{2F} = \int d\xi_N^- \hat{q}_F(\xi_N)$$

$$\hat{q}_F(\xi_N) = \frac{2\pi^2\alpha_s}{N_c} \rho_N^A(\xi_N) [x f_g^N(x)]_{x \rightarrow 0}$$

# Tagged Reactions



## Detect the A-1 recoil

- Gives the initial nucleon kinematic
- Indicates the direction of the nuclear fragments

## Allows to control the struck nucleon virtuality

$$v(|\mathbf{p}|, E) = \left( M_A - \sqrt{(M_A - m_N + E)^2 + \mathbf{p}^2} \right)^2 - \mathbf{p}^2 - m_N^2$$

## Allows to control the amount of final state interactions

- Backward and lower momenta are best for reduced FSI

# Tagging to measure the neutron

## First measurement performed at Jefferson Lab

- Bonus experiment from CLAS
- Use deuterium target to access the neutron structure

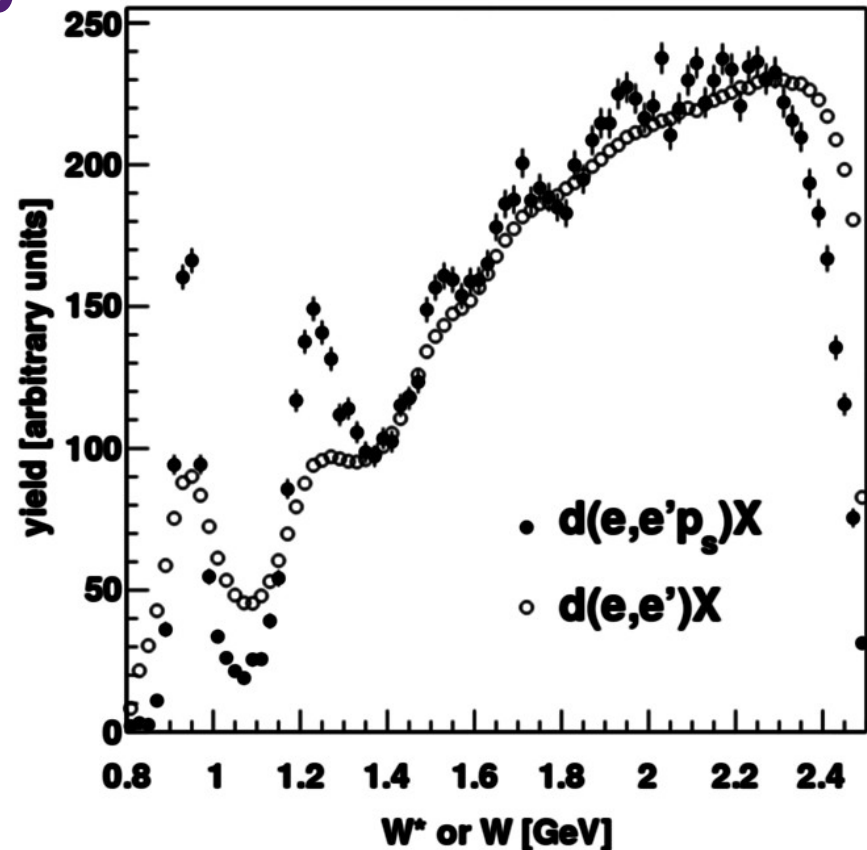
## We can observe a nice isolation of neutrons

- Neutron quasi-elastic and resonances are much sharper

## So the tagging works!

## What can we do with it?

- Study the neutron structure
- Study nucleon structure as a function of its virtuality



# The EMC effect through tagging

## Projections for JLab

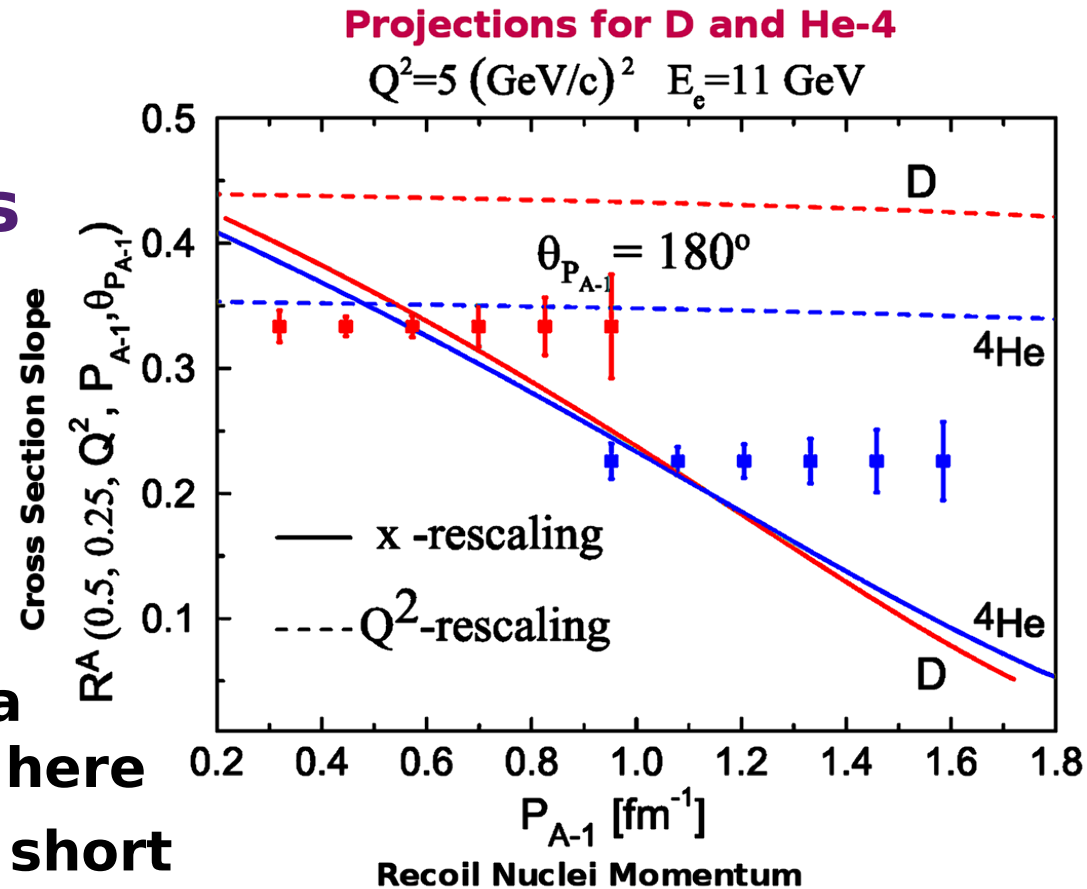
- No data yet

## Tagging can help differentiate models

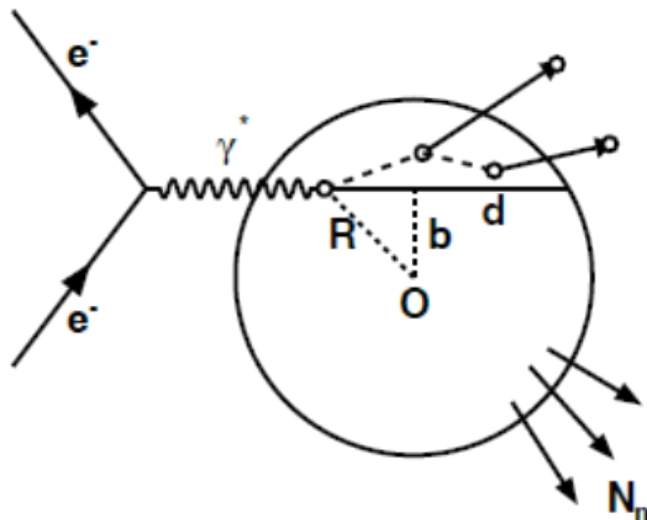
- $Q^2$  and  $x$  rescaling give drastically different predictions

## Some models have more trouble

- It is difficult to make a mean field prediction here
- If one wants to probe short range correlated nucleon pairs  $\rightarrow$  Detect  $A-2$  fragments



# Tagging the Centrality

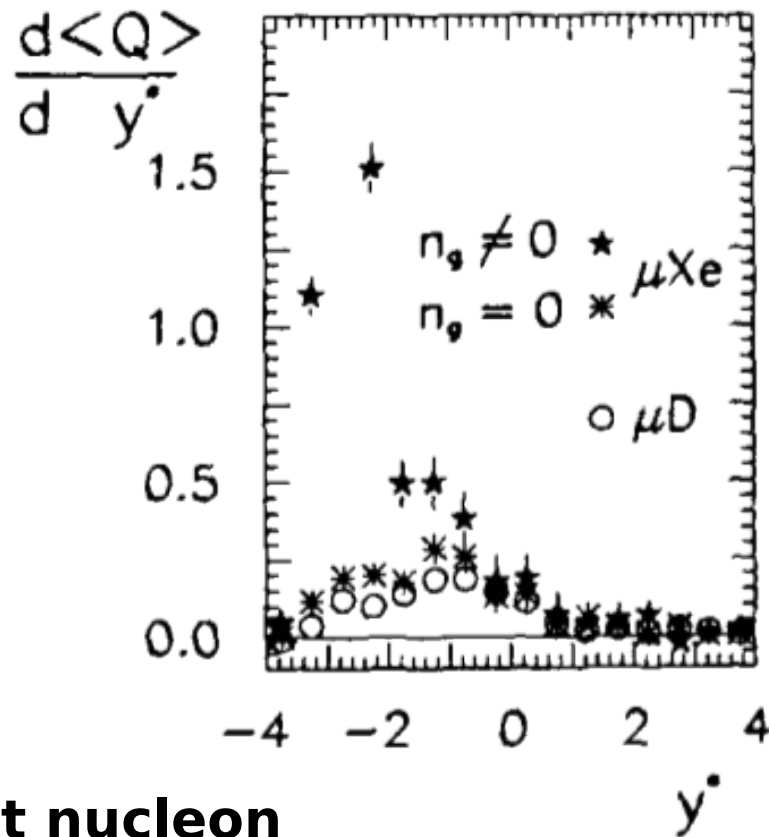


**Can we define something similar to centrality in eA scattering ?**

- Research in progress to look at nucleon emissions
- Some old data indicate interesting behaviors

**Research done with the EIC in mind**

- A perfect place to let T. Ullrich take over





# Summary

## Lepton nucleus scattering

- **Helps to learn much about classic nuclear structure**
- **Opens a new avenue to look at the partons in nuclei**

## We understand very little about partons in nuclei at this point

- **Nuclear structure in terms of quarks and gluons is a very active research domain**
- **Understanding the EMC effect is the principal goal**
- **The EIC will bring the nuclear targets to the nucleon level**

## Beyond the scope of this lecture

- **Lepton nucleus interactions can be used to explore hadronization**

*A. Accardi et al. Riv.Nuovo Cim. 32 (2010) 439-553*

- **Important for neutrino interactions as well**

*U. Mosel, Ann.Rev.Nucl.Part.Sci. 66 (2016) 171-195*