

Multi-Parton Interactions in Experiments

Jan Fiete Grosse-Oetringhaus, CERN

37th Joliot-Curie International School, La Grande Motte October 2018



This Lecture

- Discuss measurements sensitive to or related to multi-parton interactions
 - Available observables (soft and hard)
 - Definition and measurement procedure (including selected details how to reproduce them)
 - Results and interpretation
 - Influence of MPI





Further Reading

- Multiplicity
 - JFGO, PhD thesis, link
- Underlying Event
 - Sara Vallero, PhD thesis, link
- Uncorrelated seeds / minijets
 - In pp: Eva Sicking, PhD thesis, link,
 - In p-Pb: Emilia Leogrande, PhD thesis, link
- Hard Probes vs. Multiplicity
 - Javier Blanco, PhD thesis, link
- · Concepts of multiplicity biases
 - Phys. Rev. C 91 (2015) 064905, arXiv link
- Multiple Parton Interactions at the LHC, ISBN: 978-981-3227-75-0





Multiplicity Distributions

Multi-Parton Interactions in Experiments - Jan Fiete Grosse-Oetringhaus



Multiplicity Distributions

- Multiplicity distribution P(N_{ch}) = probability that event has certain (charged) multiplicity

 Within p_T and η phase space (due to detectors)
- Very sensitive to MPI



cities. The present availability of bubble-chamber facilities at Serpukhov, U. S. S. R., and at Batavia, U. S. A., has consequently made available for the first time accurate measurements of topological cross sections for very high-energy proton-proton collisions (50-300 GeV/c). In this







Multiplicity vs. MPI

- <N_{ch}> grows almost linearly with #PI
- Multiplicity distribution strongly depends on number of PI
 - Larger values not reached with few PI
 - Small values not reached with many PI
- Multiplicity distribution, in particular its tail, has large influence on MPI related parameters in MC tuning
- Unfortunately, not possible to measure P(N_{ch}) as a function of #PI





Average Multiplicities

- Average multiplicities at LHC energies
 - Faster increase than expected by MCs
- Indication for higher MPI activity
- MCs retuned affecting MPI
 - p matter distribution
 → affects impact parameter distribution
 - $p_{T,min} (\sqrt{s})$







Event Classes

- Inelastic collisions
 - Non-diffractive and diffractive
 - Single and double diffractive, ...
 - Some not measured
- Traditional classes: inelastic ("INEL") and non-single diffractive (NSD)
- Large uncertainties in corrections
- Avoided by particle-level definitions

 At least N particles within phase space
- Examples
 - ALICE INEL>0: N_{ch}>=1 in $|\eta|$ < 1
 - ATLAS: N_{ch}>=1 in $|\eta|$ < 2.5 and p_T > 0.5 GeV/c
 - ATLAS: N_{ch}>=2 in $|\eta|$ < 2.5 and p_T > 0.1 GeV/c





Event Classes & Triggers

- Event types have different topologies
- Non triggered fraction MC dependent
- Particle-level definition reduces not triggered fraction
 - Reduces overall uncertainties





Multi-Parton Interactions in Experiments - Jan Fiete Grosse-Oetringhaus



Multiplicity Distributions

• Tail widens with \sqrt{s}



 Agreement among experiments over 5 orders of magnitude





... and MCs

- Even state of the art MCs have a hard time for an exact description
 - Deviations of 20...50% easily occur
- Recap: tail is sensitive to large number of PI





Corrections

all events

no

vertex

trigge



- Published multiplicity distribution != raw measurement
 - Usually causes lots of stress ③
- Event-level corrections
 - Events skipped by trigger or vertex reconstruction
 - Migration in and out of desired event class
- Track-level corrections
 - Efficiency, secondaries
- Resolution
 - − Track level (p_T) and event level (N_{ch}) → unfolding

Unfolding is an important concept, I use the example of the multiplicity distribution to introduce it here



material

Multi-Parton Interactions in Experiments - Jan Fiete Grosse-Oetringhaus



Unfolding

- Unfolding is
 - the estimation of a probability distribution for which (usually) no parametric form is available
 - where the data are subject to additional random fluctuations due to limited resolution
- Sometimes discussed as *inverse problem*, sometimes called *deconvolution* and *unsmearing*
- Unfolding
 - reverts bin flow (i.e. tries to recover information which you don't have)
 - assigns events or tracks in a reconstructed bin to their originating (true) bin on a statistical basis
 - \rightarrow probability distributions are transformed
 - \rightarrow no information about the origin of a *single* event is obtained



Unfolding - Mathematically

- Measurement = folding with detector response
- Almost all practical purposes
 - Binning, discrete case \rightarrow matrix / vector notation
- Unfolding
 - Inversion of the folding by the detector
 - Discrete: Inversion of a matrix
- T (M) is the expectation value of the true (measured) distribution
- One measurement provides M* for which E[M*] = M
- Based on M* we want to find an estimator T* for T
 - Should be unbiased, i.e. $b = E[T^*] T = 0$
 - Smallest variance as possible
- NB: this formulation neglects background

 $f_{meas}(x) = \int R(x \mid y) f_{true}(y) dy$ M = RT

 $R^{-1}M=T$

How to measure

M = RT + BG



Why is it needed?

- Many analyses correct bin by bin
 - Choose binning appropriate for analysis
 - One correction factor per bin
- Correct when
 - there is only a negligible amount of bin migration
 - distributions are not steeply falling
- Incorrect when
 - there is significant bin migration
 - distributions fall steeply
 - MC does not describe the data
- Example
 - Bin by bin correction factor from Pythia to correct Phojet sample
 → Significant deviation





Why is it difficult?



- Easiest approach: matrix inversion $R^{-1}M = T$
- If bin size smaller than resolution
 - Large off diagonal elements in R⁻¹
 - Negative correlations between neighboring bins
- Inverted solution
 - Suffers from large (non-physical) fluctuations
 - Can be understood → (potential) fine structure cannot be resolved by detector



Why is it difficult? (2)

- A true distribution with a fine structure would also appear smooth in the detector
- Solution found by matrix inversion
 - Unbiased $b = E[T^*] T = 0$
 - Huge variance, but smallest variance of all unbiased estimators
 - \rightarrow Solutions with smaller variance will have a bias
- Need to trade variance against bias
 → unfolding methods discussed today





Regularized Unfolding



- Basic equation M = RT
- Diagonalise response matrix $R = UDU^T$
 - D diagonal with eigenvalues of R, largest first
- Transformation matrix U with $U^T U = 1$
- Rewrite $M = UDU^TT \longrightarrow U^TM = DU^TT$ c = Db
- Transformation b \leftrightarrow c (folding) became multiplication with eigenvalues





Regularized Unfolding



Regularization = select which coefficients to keep



- How to select coefficients in unfolding?
 - $-\chi^2$ minimization with regularization (acts like a smooth cut-off)
 - Iterative Bayesian unfolding with limited number of iterations
 - Small eigenvalues converge slower than larger ones!







• Find the spectrum by minimizing a χ^2 function

$$\chi^{2}(T^{*}) = \sum_{m} \left(\frac{M_{m}^{*} - \sum_{t} R_{mt} T_{t}^{*}}{e_{m}} \right)^{2} + \beta R(T^{*})$$

"Typical" χ^{2} term regularization term

- R(T^{*}) only depends on unfolded guess T^{*}
- Weight β balances the two terms
- Without regularization term, same result as found by matrix inversion
 - One can show that the solutions are equivalent

V. Blobel, Yellow report, 1984

Regularization



- Simple functional form which smoothens result
 - Don't add information through this term
 Don't impose how it should look like
 - E.g. if you look for an exponential, don't regularize with an exponential

- Weight parameter $\boldsymbol{\beta}$ needs to be tuned







- Conceptually, instead of choosing the solution with the smallest χ^2
 - one accepts a higher χ^2
 - so that the result is smooth



choose the most smooth solution in a window defined by $\chi^2 < \chi^2_{min} + \Delta \chi^2$



Residuals



- Residuals assess if unfolded distribution reproduces measurement
 - First part of the χ^2 function (\rightarrow normalized residuals)





Choosing β : Bias



• Once found a good β , check bias

$$b_t = \sum_m \frac{\partial T_t^*}{\partial M_m^*} ((RT^*)_m - M_m^*)$$

- Rule of thumb
 - Bias same or smaller than statistical uncertainty
- NB. Evaluate derivative numerically:

$$\frac{\partial T_t}{\partial M_m} = \frac{1}{6d} \left[8 \left(f\left(\frac{d}{2}\right) - f\left(-\frac{d}{2}\right) \right) - \left(f(d) - f(-d) \right) \right]$$
$$f(x) = T_t (M \mid M_m = M_m + x \sqrt{M_m})$$





Bayes' Theorem



- Bayes' theorem relates
 - the conditional probabilities
 - P(A|B) "A given B" and
 - P(B|A) "B given A"
 - the marginal probabilities P(A) and P(B)
 - of events A and B

$$P(A \mid B) = \frac{P(B \mid A)P(A)}{P(B)}$$



Figure: Bob Cousins



۲

Iterative Bayesian Unfolding



- Rewrite Bayes' theorem for our purposes
 - A = true event (track)
 - B = measured event (track)
- Assume a-priory distribution P, calculate smearing matrix $\widetilde{R}_{_{tm}}$

 $U_t = \sum_m \widetilde{R}_{tm} M_m$

 $\widetilde{R}_{tm} = \frac{R_{mt}P_t}{\sum_{t'}R_{mt'}P_{t'}}$

- Proceed iteratively
 - Choose prior distribution P
 - Calculate $\widetilde{R}_{_{tm}}$ and then $\,U_{_t}\,$
 - Optional: apply smoothing
 - Replace P by U, iterate
- Limited number of iterations provides implicit regularization

Nucl.Instrum.Meth.A362:487-498,1995 Blobel, hep-ex/0208022 Optional Smoothing $\hat{U}_{t} = (1 - \alpha)U_{t} + \frac{\alpha}{3}(U_{t-1} + U_{t} + U_{t+1})$



Example of Unfolding using Bayesian Method



How to measure



Summary Multiplicity Distributions

- Multiplicity distribution among basic simple observables
- Experimental unfolding procedure challenging
- Multiplicity distribution of events with different number of parton interactions looks very different
- Tail of distribution populated by events with large MPI activity
- But: measurement of multiplicity distribution for specific number of parton interactions not feasible (to date...)



Underlying Event

Multi-Parton Interactions in Experiments - Jan Fiete Grosse-Oetringhaus



Underlying Event

- What is the underlying event (UE)?
 - Anything below the hardest scattering

- Due to steeply falling cross-section
 - Most events have soft component
 - If there is a hard parton scattering, there are additional soft parton interactions

Most events have (more than one) "soft" parton scattering





Underlying Event (2)

- Find hardest object
 - Charged track, jet, Z, ...
- Study distinct azimuthal regions wrt object
 - Transverse (1/3 π < | $\Delta \phi$ | < 2/3 π) = UE
 - May be split into MIN and MAX region
 - Towards ($|\Delta \phi| < 1/3\pi$)
 - Back-to-back ($|\Delta \phi| > 2/3\pi$)
- Typical observables
 - Number density, Σp_T , σp_T







Selection Bias

- Trivial bias in distribution
 - The more particles drawn, the higher max $\ensuremath{p_{\text{T}}}$
- Can be shown with simple toy (~20 lines ROOT, see <u>backup</u>)
 - p_T distribution: dN/dp_T ~ pT^{-4.4}
 - Draw n particles from this distribution
 - Determine $max(p_T)$
 - Calculate $<n>(max(p_T))$

Steep increase as in observed underlying event distribution



Onset and level of plateau depends on N_{ch} distribution





Impact Parameter Dependence

Chance for parton interactions
 depends on pp impact parameter



 Reminder: in pp collisions b is not directly accessible (contrary to AA collisions)



Number Density (towards)

- Activity in direction of leading object
- Overall similar picture
 - Steep increase, then mild increase
- Larger slope at large $p_{\text{T,lead}}$ than in the transverse region
- Harder jets fragment into
 - more particles
 - leading object with higher $p_{\rm T}$









- Activity back-to-back of leading object
- Overall similar picture
 Steep increase, then mild increase
- Similar slope at large $\ensuremath{p_{\text{T,lead}}}$ than in the towards region
- Conclusions as for towards region
 - Balancing jet has similar p_T


Transverse ATLAS $\sqrt{s} = 13 \text{ TeV}, 1.6 \text{ nb}^{-1}$



- Instead of counting the particles, ulletmeasure their Σp_T
- Generally similar trends
 - Watch details in comparison to N_{ch} !





- N_{ch} and Σp_T similar for transverse region
- Differences in towards and away region
 - Σp_T closer correlated to leading p_{T}
 - Harder jets carry more momentum



Leading object constitutes large fraction of Σp_{T} (only in towards region)

For these plots, phase space factor $\delta\eta\delta\phi = 2/3\pi^*2.5 \sim 5.2$ \rightarrow 20 GeV/c $\rightarrow \Sigma p_T = 3.82$



UE vs. jets and Z

- Leading track and leading jet ulletmeasurements show similar dynamics
- Leading Z boson p_T different •
 - Different turn on in N_{ch}
 - Larger activity in Σp_T





CERI



Dependence on p_T cut

- Results with three different low p_T thresholds
- More than 75% of the particles within 0.15 < p_T < 1 GeV/c
- Particularly important for MC tuning





JHEP 07 (2012) 116



\sqrt{s} Dependence

1.2

CMS

\s = 7 TeV

Transverse densitv



• Significant increase with \sqrt{s} - As overall multiplicity





Relation of $N_{\mbox{\tiny ch}}$ and UE

- Compare
 - Overall average multiplicity (of MB collisions)
 - Plateau in transverse region (the UE contribution)
- Steeper slope in UE than MB
- With increasing √s, UE grows faster than average
- Sensitive to interplay of hard process, ISR, FSR and MPI





Influence of Acceptance



- ATLAS ($|\eta| < 2.5$) vs. ALICE ($|\eta| < 0.8$)
 - Transverse region similar
 - Large difference in towards
- Due to size of jet around leading particle







Corrections

- Published underlying event distributions != raw measurement
- Let's use this example to understand
 - which detector effects are relevant
 - how to correct for them
- You need two things: 1) brain
- Procedure
 - Make a list of effects which could affect your measurement (involves: previous analysis, discussion with colleagues, papers, ...)
 - Test these on MC











Finding a Correction Procedure

- Testing with MC
 - Construct your observable at many different steps



- Compare the distributions at the different levels
 - Reveals effects.
 Maybe some negligible → no correction needed
 - MC Closure: Do the two blue boxes agree?

Use the same sample to produce corrections and plots → closure should be exact. Very powerful to find issues and bugs!

How to measure



Strength of Detector Effects



- Example for ALICE, pp, 7 TeV (PhD thesis, Sara Vallero)
- Event level





Further Corrections

- Underlying-event specific effects
 - Not reconstructed leading track, leads to re-orientation of towards, transverse, and away region
 - E.g. about 5% migration about towards \rightarrow transverse
- Correction
 - Based on MC (implies MC dependence)
 - Data-driven approach
 - Apply tracking efficiency 2nd time to the leading track
 - If reconstructed, fill normally
 - If not, use sub leading track to orientate event







Re-orientation Correction



Good agreement except at low p_T (due to "event loss" when efficiency is applied 2nd time)

→ difference considered for the systematic uncertainties

How to measure



Overview: Corrections on Data



How to measure





- Underlying event observables characterize activity relative to the hardest scattering
- Important tool for MC tuning and modelling
- Transverse region studies activity from additional parton interactions
 - With increasing \sqrt{s} , underlying event grows faster than average multiplicity







- Large range in Q²
 - High Q² jets
 - Many low Q² processes

How to experimentally measured number of parton interactions?



• Identify sets of particles stemming from the same parton interactions (= seed)





Experimental Approach

- Correlate pairs of particles
- Record azimuthal differences







trigger particle associated particle



Both jet sides can contribute to the near side and away side



Pair Yield & Uncorrelated Seeds

- Pair yield $\frac{1}{N_{trig}} \frac{d^2 N_{assoc}}{d\Delta \varphi d\Delta \eta}$
- Number of triggers N_{trig}
- Number of associated particles
 - on the near side
 - on the away side
- Derive uncorrelated seeds



$$\langle N_{uncorrelated \, seeds} \rangle = \frac{\langle N_{trig} \rangle}{\langle 1 + N_{assoc,NS} + N_{assoc,AS} \rangle}$$
 Total number of particles belong "together" trigger particle



Pair Yield

- In symmetric p_T bins, with $p_{T,assoc} < p_{T,trig}$
- With n particles within a minijet, n(n-1)/2 pairs can be formed

$$\frac{\left\langle N_{pair}\right\rangle}{\left\langle N_{trig}\right\rangle} = \frac{\left\langle n(n-1)/2\right\rangle}{\left\langle n\right\rangle} = \frac{1}{2} \left(\frac{\left\langle n^{2}\right\rangle}{\left\langle n\right\rangle} - 1\right)$$

- Depends on second moment <n²> of distribution P(n)
- Limit: small <n> and monotonically falling P(n)



Pair Yield (2)

Exact!

• Test
$$\frac{1}{2} \left(\frac{\langle n^2 \rangle}{\langle n \rangle} - 1 \right) \approx \frac{\langle n \rangle}{1 - P(0)} - 1$$

- Geometrical row $P(n) = (1-q)q^{n} \rightarrow \frac{1}{2} \left(\frac{\langle n^{2} \rangle}{\langle n \rangle} - 1 \right) = \langle n \rangle = \frac{\langle n \rangle}{1 - P(0)}$
- Poisson distribution $P(n) = \frac{\mu^n e^{-\mu}}{n!} \rightarrow \frac{1}{2} \left(\frac{\langle n^2 \rangle}{\langle n \rangle} - 1 \right) = \frac{\mu}{2} \quad \frac{\langle n \rangle}{1 - P(0)} - 1 = \frac{\mu}{2} - \frac{\mu^2}{6} + \dots$ First order
- Log series $P(n) = -\frac{1}{\ln(1-p)} \frac{p^{n}}{n}$ $\rightarrow \frac{1}{2} \left(\frac{\langle n^{2} \rangle}{\langle n \rangle} - 1 \right) = \frac{p}{2(1-p)} \frac{\langle n \rangle}{1-P(0)} - 1 = \frac{p}{2(1-p)} + \frac{p^{2}}{3(1-p)} + \dots$ First order





Uncorrelated Seeds: Numerical Example



Seeds (true)	3
N _{trig}	3
N _{assoc}	0 / 3 = 0
N _{uncorrelated seeds}	3 / (1 + 0) = 3

$$\frac{1}{N_{trig}} \frac{d^2 N_{assoc}}{d\Delta \varphi d\Delta \eta} \qquad \left\langle N_{uncorrelated \ seeds} \right\rangle = \frac{\left\langle N_{trig} \right\rangle}{\left\langle 1 + N_{assoc,NS} + N_{assoc,AS} \right\rangle}$$

Approximation!



Relation of Uncorrelated Seeds and MPI





Results: Pair Yields



JHEP 09 (2013) 049

Multi-Parton Interactions in Experiments - Jan Fiete Grosse-Oetringhaus

Results: Uncorrelated Seeds



JHEP 09 (2013) 049

CERN

Multi-Parton Interactions in Experiments - Jan Fiete Grosse-Oetringhaus



- Uncorrelated seeds (~ MPI) increase linearly with N_{ch}
- At large N_{ch}, limit of MPI?
 - (i.e., larger multiplicity by fluctuation, not by additional MPI)



Event Mixing



- Correlation measurements sensitive to detector acceptance
 - "Background" from non-uniform detector acceptance easily larger than signal
- Drawing particles from uniform distribution with
 - One gap \rightarrow peak structure in two-particle $\Delta \phi$ distribution
 - Two gaps \rightarrow back-to-back structure in two-particle $\Delta \phi$ distribution









- Leads to tent in two-particle $\Delta\eta$ distribution











Not any two events can be mixed! Similar multiplicity and detector acceptance (z vertex) needed.

 $S(\Delta \varphi, \Delta \eta) = \frac{1}{N_{tria}} \frac{d^2 N_{pairs,same}}{d\Delta \varphi d\Delta \eta}$

- These effects can be estimated and corrected data-driven
- Signal S contains correlation within an event
- Background B contains "correlation" between different events





Summary Uncorrelated Seeds

- Two-particle correlations measure associated particle yields
- Allows to calculate uncorrelated seeds
- These are proportional in Pythia to the number of MPI
- Direct access to number of low Q² scatterings
- At high multiplicity, hint of limit in the number of MPI



Hard Probes vs. Multiplicity



Hard Probes

{d²N/d*y*d*p*₁ ALICE 25 Multiplicity, underlying event activity and pp $\sqrt{s} = 7 \text{ TeV}, |y| < 0.5$ uncorrelated seeds probe soft part of MPI D^0 meson, $1 < p_{\perp} < 2$ GeV/c 20 • How does hard MPI production behave? How are the soft and hard related? 15 $d^2 N/dy dp_T$ LHE Measurement of D and J/ ψ σ 10 00 - c produced in hard scattering ($m_c = 1.28 \text{ GeV/c}$) 2015 48 Experimentally expressed as % normalization unc. not shown \pm 6% unc. on (dN/dη) / (dN/dη) not shown $D < D > vs. N_{ch} < N_{ch} >$ unc. 0.4 B fraction hypothesis: $\times 1/2$ (2) at low (high) multiplicity 0.2 • For D: B feeddown fraction relevant B feed -0.2 $(B \rightarrow D + X)$ $(dN_{ch}/d\eta) / \langle dN_{J}/d\eta \rangle$



D Production vs. Multiplicity





Multiplicity Estimator

- D and N_{ch} measured in same rapidity
 - Autocorrelation bias?
 - Associated soft particle production with D?
- Measure D/<D> vs. forward multiplicity

 "V0" (-3.7 < η < -1.7 and 2.8 < η < 5.1)
- Similar increase observed
 - Factor 5 at $N_{ch}/\langle N_{ch} \rangle = 3.5$
 - Multiplicity reach is smaller forward than at mid-rapidity





J/ψ Production

- J/ψ are produced
 - in the collision "prompt"
 [direct from process producing ccbar]
 - from the decay of a B quark "non-prompt" [process has produced b quark]



- Different physics
- Can be experimentally distinguished by J/ψ impact parameter


J/ψ vs. Multiplicity



Multi-Parton Interactions in Experiments - Jan Fiete Grosse-Oetringhaus





Multi-Parton Interactions in Experiments - Jan Fiete Grosse-Oetringhaus

CERN



Rapidity Dependence

- Growth significantly larger at mid-rapidity than at forward rapidity
- Autocorrelation bias
 - Multiplicity measured in same phase space as hard probe
 - Discussed later





Recap

- D and J/ ψ at mid rapidity grow faster than the average multiplicity Within current precision: p_T independent
- Smaller growth for forward rapidity
 - At least partly an auto-correlation effect
- Within current precision, no conclusion for non-prompt component

• How to interpret this growth within a MC?



D Production in Pythia 8



JHEP 09 (2015) 148



Further Model Comparisons



JHEP 09 (2015) 148



Summary Hard Probes vs. Multiplicity

- D and J/ψ measured as a function of multiplicity
 - Proxy of the correlation of the production of hard and soft probes
- Rapidity dependence reveals auto-correlation bias
- D and J/ ψ yields grow faster than multiplicity
- Quantitatively not explained by current models



Hard Double Parton Scattering

Multi-Parton Interactions in Experiments - Jan Fiete Grosse-Oetringhaus



Effective Cross-Section

- Ample evidence for MPI at soft scales ($Q^2 \sim \text{few GeV}^2$) •
- Semi-hard production also involved in MPI (D, J/ψ)
- What about higher Q^2 ? ullet
 - $-2 \rightarrow 2$ scattering probes higher x partons \rightarrow densities are lower at larger x (x ~ 2 p_T / \sqrt{s})
- Quantified by effective cross-section σ_{eff}



- "Encodes" PDF

 σ



prefactor for

identical processes



 σ_i inclusive \rightarrow if occurs twice in the same event, i needs to be counted twice σ_{ii} only in double parton scattering (not in two separate parton scatterings)

- In Eikonal picture

$$_{eff} = \frac{1}{\int d^2 b A(b)^2} \int d^2 b A(b) = 1$$
 A(b) = "overlap distribution"



What is σ_{eff} ?

- Process i with σ_i
- Process j with σ_i
- Probability σ_{ii} to have i and j at the same time?
 - As independent processes

Multiplying probabilities is very typical. Imagine 2 dice with 6 sides each. What is the probability to role two times 1? \rightarrow 1/6 * 1/6 = 1/36

However, here we look for the probability that processes occur alone or together.

- For Poisson distribution and identical processes $P(1) = e^{-1} \lambda P(2) = e^{-2} \lambda^2/2$ $\rightarrow \sigma_{eff} = 1$
- For pp collisions at LHC, σ_{eff} ~ 20 mb
 - Prefactor encoding circumstances in which processes occur









How to measure σ_{eff} ?

Measure single process i



- Trivial if we would know that i and j cannot come from the same parton scattering
 - Not the case in practice
- Example: W+2 jet events



• Need experimental handle to distinguish single and double parton scattering

JHEP 03 (2014) 032





Signal and Background Distributions







Influence of MPI

- Correct description of ΔS and $\Delta^{\text{rel}}\,p_{\text{T}}$
 - requires higher-order diagrams
 - inclusion of MPI



JHEP 03 (2014) 032



Extraction Method





\sqrt{s} Dependence





Summary Hard Double Parton Scattering

- Double parton scattering measures the probability that two processes occur in the one collision in different parton scatterings
 - Quantified by σ_{eff}
- Irreducible background of higher-order diagrams
 - Diagram contains both processes within one parton scattering



Multiplicity Biases

Multi-Parton Interactions in Experiments - Jan Fiete Grosse-Oetringhaus







- Many observables measured as a function of multiplicity
- Can we consider the multiplicity independent from the studied process?
 - Independent = only characterization of the event activity
 - Correlation between multiplicity and studied process only indirectly through event activity

- Let's discuss two aspects
 - What biases can occur when multiplicity is used to slice events into classes
 - How are these biases related to MPI



Bias!

- We discussed J/ ψ vs. N_{ch} measurement
- Imagine simple (unphysical) picture
 - Random number of particles
 - Per particle probability of producing J/ ψ is 20%
 - Variant: Per produced J/ ψ add 2 particles
 - Slope drastically changed





Concept: Centrality

Short excursion to heavy-ion physics

Overall activity and multiplicity depends on nuclear overlap





Large Systems: Pb-Pb

- Multiplicity depends on participants nucleons N_{part}
 - N_{part} depends on collision impact parameter b
- Clear correlation between multiplicity and b
- Correlation correlated across phase space







Large Systems: Pb-Pb

- Can the multiplicity stemming from each N_{part} be treated independently?
- Tested within Glauber model

 $\frac{N_{ch} / N_{part}}{\mu}$

μ = average per N_{part} [in Glauber: <N_{ch}> per NBD]

- Binning in b \rightarrow unity
- Binning in N_{ch}
 - Unity for 0-70% centrality
 - Deviations for the 30% lowest multiplicity
- So called *multiplicity bias*



Phys. Rev. C 91 (2015) 064905



Consequence: R_{AA} in peripheral Pb-Pb

- Nuclear modification factor R_{AA} commonly used to ulletquantify energy loss in the Quark-Gluon Plasma
- Multiplicity bias distorts signal in peripheral collisions ullet
- Above 80% centrality, R_{AA} decreases due to bias



Multi-Parton Interactions in Experiments - Jan Fiete Grosse-Oetringhaus

 $\frac{dN_{AA} / dp_{T}}{\langle N_{coll} \rangle dN_{pp} / dp_{T}}$



Less participating nucleons
 → larger biases
 (8 in p-Pb vs. 110 in Pb-Pb)

• Multiplicity bias at all centralities



Phys. Rev. C 91 (2015) 064905



- Couple Glauber and PYTHIA
- Calculate #MPI per NN collision
- Significant deviations from average
- Clear bias
 → bias on N_{ch}, hard yields, …





- High p_T particles are produced only in high Q² processes
 - High $Q^2 \rightarrow$ high N_{ch} (on average)
 - Introduces trivial correlation
- Low multiplicity selections are depleted of such processes

 High p_T yields reduced at low N_{ch}
- Jet-veto bias





- Nucleon-nucleon impact parameter b_{NN}
 - Increases in peripheral collisions \rightarrow less MPI
 - Decreases in central collisions \rightarrow more MPI









Distorted by these biases

measurement, the smaller the biases

CFR

ullet

•



101



Consequence: R_{pA} in p-Pb

- Similar bias for RpA of reconstructed jets
- Rapidity dependence clearly visible





• Charged-particle spectra in multiplicity bins





Charged-particle spectra in multiplicity bins



Multi-Parton Interactions in Experiments - Jan Fiete Grosse-Oetringhaus



- What is the high p_T shape evolution?
 - Quantified by power-law fit above 6 GeV/c



Multi-Parton Interactions in Experiments - Jan Fiete Grosse-Oetringhaus

ALICE preliminary, pp $\sqrt{s} = 13 \text{ TeV}$

-Mid-pseudorapidity mult. class N SPD tracklets ($|\eta| < 0.8$) 0 to 5 (x10³) 6 to 10 (x)

11 to 15 (x10³

21 to 25 (x10⁵) 31 to 35 (x10⁷)

41 to 50 (x10⁹)

6 to 10 (x10²)
 16 to 20 (x10²)

26 to 30 (x10⁶)

36 to 40 (x10⁸)

≥ 51 (x10)

 $h^+ + h^-, |\eta| < 0.8$

Uncertanties

Syst. To

+ Stat.



• How do the high p_T yield scale with multiplicity?

- Significant growth
 - The larger the larger the $p_{\rm T}$







- Characterization of event activity with multiplicity is biased
 - Multiplicity bias, e.g. when desiring a selection in impact parameter but using multiplicity
 - Geometric bias, e.g. when high-multiplicity collisions select smaller-thanaverage nucleon-nucleon impact parameter
 - Jet-veto bias, e.g. low multiplicity disfavours large Q² processes
- In large systems like Pb-Pb present in peripheral collisions
- In medium systems like p-Pb present in all event classes
- In pp collisions omnipresent and crucial for interpretation



Collective Phenomena

Multi-Parton Interactions in Experiments - Jan Fiete Grosse-Oetringhaus






- After collision, QGP droplet in vacuum
- Energy density very high
- Strong pressure gradient from center to boundary
- Consequence: rapid expansion ("little bang")
- Partons get pushed by expansion
 → Momentum increase
- Measurable in the transverse plane (p_T)
 - Called radial flow

 $p = p_{max}$

view in beam direction





Elliptic Flow

Short excursion to heavy-ion physics

Overlap of colliding nuclei not isotropic in non-central collisions





→ Pressure gradients dependent on direction

here:
$$\frac{dp_x}{dL} > \frac{dp_y}{dL}$$

Anisotropy

- Spatial anisotropy (almond shape)
 - Quantified by eccentricity

$$\varepsilon = \frac{y^2 - x^2}{y^2 + x^2}$$

2

- Pressure gradient larger in-plane
- Pressure pushes partons
 - More in in-plane than out-of-plane
- Spatial anisotropy converts into momentumspace anisotropy
 - "Faster" particles in-plane
 - Measurable in the final state!



Experimental Signal

- Particles as a function of ϕ - Ψ_{RP}



$$\frac{dN}{d\varphi} = A \left(1 + 2v_2 \cos 2(\varphi - \Psi_{RP}) \right)$$

• Define
$$v_2 = \langle \cos 2(\varphi - \Psi_{RP}) \rangle$$

- Second coefficient of Fourier expansion

- Ψ_{RP} common symmetry plane (for all particles)
- What if there were no correlations with $\Psi_{\text{RP}}?$







Two-Particle Correlations

- Rewrite $v_2 = \langle \cos 2(\varphi \Psi_{RP}) \rangle$ as $v_2 = \langle e^{i2(\varphi \Psi_{RP})} \rangle$
- Reaction-plane estimation can be experimentally tricky
- v₂ can also be measured from 2-particle correlations

$$\left\langle e^{i2(\varphi_{1}-\varphi_{2})}\right\rangle = \left\langle e^{i2(\varphi_{1}-\Psi_{RP}-(\varphi_{2}-\Psi_{RP}))}\right\rangle = \left\langle e^{i2(\varphi_{1}-\Psi_{RP})}\right\rangle \left\langle e^{i2(\varphi_{2}-\Psi_{RP})}\right\rangle = v_{2}^{2}$$



Modulation smaller due to $v_2 \rightarrow (v_2)^2$ but statistical power similar

Short excursion to heavy-ion physics

p_x



2D Two-Particle Correlations

- Flow component overlaid by (mini)jet contribution Away-side jet + flow $(\Delta \varphi \sim \pi, \text{ elongated in } \Delta \eta)$
- This can also be looked at in two dimensions
 - Azimuth $\Delta \phi$ and pseudorapidity $\Delta \eta$





And in pp?



Multi-Parton Interactions in Experiments - Jan Fiete Grosse-Oetringhaus



Near-Side Ridge

- ... Observed in very high-multiplicity pp collisions
 - 0.005% events with highest multiplicity

- ...observed in high multiplicity p-Pb collisions
 - ~40% events with highest multiplicity
 - Surprisingly large magnitude





Double Ridge in p-Pb

- Subtraction procedure to "isolate" ridge contribution from jet correlations
 - No ridge seen in 60-100% and similar to pp



here: $\eta = \eta_{\text{lab}}$



v₂ Coefficients in pp



Multi-Parton Interactions in Experiments - Jan Fiete Grosse-Oetringhaus



System Comparison



Phys. Lett. B 765 (2017) 193

Multi-Parton Interactions in Experiments - Jan Fiete Grosse-Oetringhaus



- In Pb-Pb collisions, correlations between all particles through a common symmetry plans are observed
- Small systems, p-Pb and (high-multiplicity) pp, show similar features
 - Paradigm shift in the understanding of heavy-ion collisions
- Can part of these effects be related to MPI and the correlations between them?
 - For this it is useful to answer the question if the observed ridge is related to the (mini)jet production

Uncorrelated Seeds in p-Pb

- Already discussed uncorrelated seeds measurement can be applied to p-Pb
 - Challenge: how to count particles in ridge?
 - Exploit two-dimensional ($\Delta \phi$ and $\Delta \eta$) near-side structure







Ridge Subtraction

- Short range ($|\Delta \eta| < 1.2$) ullet
- Long range ($|\Delta \eta| > 1.2$) •
 - Symmetrise to away side
 - Subtract





- Odd harmonics (like v_3) not correctly symmetrised \rightarrow systematic uncertainties

 $rac{1}{N_{trig}}rac{d^2N_{assoc}}{d\Delta\eta d\Delta\phi}$ (rad⁻¹)

1.4

1.2

1n





Near-Side and Away-Side Yields



Minijet contribution flattens for highest 60% multiplicity



Correlation of Hard and Soft



- Observable = associated yield/trigger
- Associated yield = particles from minijet
- Trigger = particles from minijet + uncorrelated bg
- Simple scenario
 - N_{minijets} with N_{associated} particles each
 - Some soft background N_{soft}



 Quantity stays constant with N_{ch} only if N_{minijets} and N_{soft} change by same factor
 → hard and soft particle production exhibit same evolution with multiplicity



Correlation of Hard and Soft



 $\frac{\text{associated yield}}{\text{trigger particle}} = \frac{N_{\text{minijets}} \cdot N_{\text{assoc}} (N_{\text{assoc}} - 1)/2}{N_{\text{minijets}} \cdot N_{\text{assoc}} + N_{\text{soft}}} = \text{overall mult. N}_{\text{ch}}$

- Quantity stays constant with N_{ch} only if N_{minijets} and N_{soft} change by same factor
 → hard and soft particle production exhibit same evolution with multiplicity
- Statement doesn't hold when ridge included
- Conclusion
 - Independent parton-parton scatterings + incoherent fragmentation produce minijets
 - Ridge is result of other source(s)



Saturation of MPI in p-Pb?

- Uncorrelated seeds after subtraction of ridge component
- Linear growths with multiplicity
- No sign of saturation of number of MPI as hinted at in pp collisions







Summary Collective Phenomena

- Expanding hot and dense matter leads to collective phenomena
 - All particles correlated with each other through common symmetry planes
 - Text-book observable in heavy-ion collisions
- Similar effects observed in small collision systems
 - Involving ions on one side: (p-A, d-A)
 - In pp collisions well established at high N_{ch} ; under investigation at low N_{ch}
- Ridge structure in p-Pb collisions seems to be additive to minijets produced by independent parton-parton scatterings + incoherent fragmentation



Take-Home Messages

- Multiplicity distribution of events with different number of PI very different, but experimentally inaccessible
- Underlying event transverse region measures activity from additional PI in the same collision
- Uncorrelated seeds extracted from two-particle correlations are proportional to the number of PI (in MCs)
- Hard probes like D and J/ψ measured as a function of multiplicity are a proxy of the correlation of the production of hard and soft probes
- Double parton scattering quantifies with σ_{eff} the probability that two hard processes occur in the one collision in different parton scatterings
- Multiplicity as event characterization suffers from various biases which have to be considering before drawing physics conclusions
- The collective ridge structure observed in small systems is additive to minijets produced by MPI

Thank you for your attention!







Toy for Selection Bias

```
#include "TF1.h"
#include "TMath.h"
#include "TProfile.h"
#include "TCanvas.h"
#include "TH2F.h"
```

```
void selection_bias() {
   TF1* ptDist = new TF1("ptDist",
        "x**-4.4", 0.5, 10);
   TProfile* prof = new TProfile("prof",
        ";p_{T,lead};N_{ch}", 100, 0, 10);
```

```
for (int trial=0; trial<10000; trial++) {
    for (int n=1; n<50; n++) {
```

```
double maxpt = 0;
for (int i=0; i<n; i++)
maxpt = TMath::Max(maxpt,
ptDist->GetRandom());
prof->Fill(maxpt, n);
```

```
new TCanvas;
prof->SetStats(kFALSE);
prof->Draw("COLZ");
```



Glauber Monte Carlo

- Nucleons travel on straight lines
- Collisions do not alter their trajectory (energy of nucleons large enough)
- No quantum-mechanical interference
- Interaction probability for two nucleons is nucleon-nucleon cross-section



"Blue" nucleon has suffered 5 NN collisions

Need to repeat for all other nucleons in A

Strongly dependent on impact parameter b



Roy Glauber

Multi-Parton Interactions in Experiments - Jan Fiete Grosse-Oetringhaus



Realistic Example



Figure: nucl-ex/0701025



Input to Glauber MC

- Distribution of nucleons in nuclei
 - Based on nuclear density
 - Typically Woods-Saxon distribution





 $\rho(r)/\rho(0)$ vs. r

¹⁹⁷Au

1.0

0.8

- Nucleon-nucleon cross-section
 - From pp measurements / extrapolations



Glauber MC Output

- Number of spectators
 - Nucleons which did not collide
- Participant/wounded nucleons
 - Collided at least once
 - Called N_{part}
 - Scale with 2A (A = number of nucleons)
- Number of binary collisions
 - Called N_{coll}
 - Scales with A^{4/3}
- Rule of thumb
 - Soft (low p_T) observables scale with N_{part}
 - Hard (high p_T) observables scale with N_{coll}



 $N_{coll} \sim A \cdot L = A^{4/3}$



Glauber MC Output (2)

- 10% most central at RHIC (Au-Au, 200 GeV)
 - N_{coll} ~ 1200
 - N_{part} ~ 380
- 5% most central collisions at LHC (Pb-Pb, 5 TeV)
 - N_{coll} \sim 1770
 - N_{part} \sim 384
- Difference mainly due to cross-section increase

