

Introduction to perturbative QCD and factorization

Part 1

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Plan of lectures

0. Brief introduction
1. Renormalisation, running coupling, running masses
scale dependence of observables
2. $e^+e^- \rightarrow$ hadrons
some basics of applied perturbation theory
3. Factorisation and parton densities
using perturbation theory in ep and pp collisions
4. GPDs and exclusive processes
a more detailed look into proton structure
5. TMDs
probing the transverse momentum of partons
6. Double parton scattering
a new case for factorisation

Some references for all lectures:

- ▶ more on short-distance factorization
 - J Collins, hep-ph/9907513 and hep-ph/0107252
 - J Collins, Foundations of Perturbative QCD, CUP 2011
- ▶ short overview of GPDs and TMDs
 - MD, arXiv:1512.01328
- ▶ full bibliography for GPDs e.g. in reviews
 - S Boffi and B Pasquini, arXiv:0711.2625
 - A Belitsky and A Radyushkin, hep-ph/0504030
 - MD, hep-ph/0307382
 - K Goeke et al., hep-ph/0106012
- ▶ overviews of TMDs
 - A Bacchetta et al., hep-ph/0611265
 - S Mert Aybat and T Rogers, arXiv:1101.5057
 - T Rogers, arXiv:1509.04766

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- ▶ more on short-distance factorization

 - J Collins, hep-ph/9907513 and hep-ph/0107252

 - J Collins, Foundations of Perturbative QCD, CUP 2011

- ▶ multiparton interactions

 - Multiple Parton Interactions at LHC, eds. P Bartalini and J Gaunt, 2018

 - <https://doi.org/10.1142/10646> (individual chapters on arXiv)

 - MD, summer school lectures (2014)

 - <https://indico.in2p3.fr/event/9917/other-view?view=standard>

Quantum chromodynamics (QCD)

- ▶ theory of interactions between **quarks and gluons**
- ▶ very different from weak and electromagnetic interactions because coupling α_s is large at small momentum scales
 - quarks and gluons are **confined** inside bound states: **hadrons** (**proton, neutron, pion, ...**)
 - perturbative expansion in α_s only at high momentum scales
- ▶ symmetries
 - gauge invariance: group $SU(3) \leftrightarrow$ **colour charge**
electromagnetism: $U(1) \leftrightarrow$ electric charge
 - Lorentz invariance and discrete symmetries:
P (parity = space inversion) T (time reversal)
C (charge conjugation)
 - chiral symmetry for zero masses of u, d and s
- ▶ embedded in Standard Model: quarks couple to γ, W, Z and H

Why care about QCD?

- ▶ without quantitative understanding of QCD would have **very** few physics results from LHC, Belle, ...
- ▶ α_s and quark masses are fundamental parameters of nature need e.g.
 - m_t for precision fits in electroweak sector \rightarrow Higgs physics
 - α_s to discuss possible unification of forces
- ▶ QCD is **the one** strongly interacting quantum field theory we can study in experiment many interesting phenomena:
 - structure of proton
 - confinement
 - breaking of chiral symmetry
 - convergence of perturbative series

Basics of perturbation theory

- ▶ split Lagrangian into free and interacting parts:

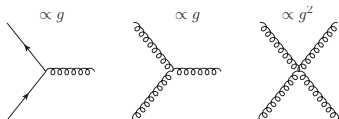
$$\mathcal{L}_{\text{QCD}} = \mathcal{L}_{\text{free}} + \mathcal{L}_{\text{int}}$$

- \mathcal{L}_{int} : interaction terms $\propto g$ or g^2
 - expand process amplitudes, cross sections, etc. in g
 - **Feynman graphs** visualise individual terms in expansion
- ▶ from $\mathcal{L}_{\text{free}}$: free quark and gluon propagators



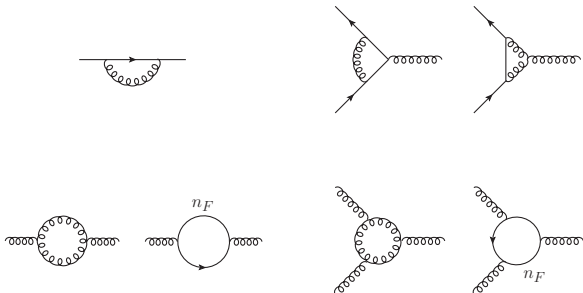
- in position space: propagation from x^μ to y^μ
- in momentum space: propagation with four-momentum k^μ

- ▶ from \mathcal{L}_{int} : elementary vertices



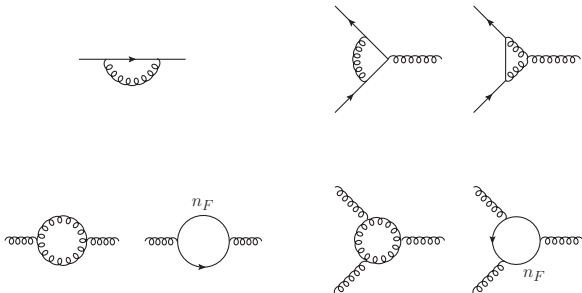
Loop corrections

- ▶ in loop corrections find **ultraviolet (UV)** divergences
- ▶ only appear in corrections to
propagators



Exercise: Draw the remaining one-loop graphs for all propagators and elementary vertices

- ▶ origin of UV divergences: region of ∞ ly large loop momenta
 \leftrightarrow quantum fluctuations at ∞ ly small space-time distances
- ▶ idea: encapsulate UV effects in (a few) parameters
when describe physics at a given scale $\mu \rightsquigarrow$ renormalisation



- ▶ origin of UV divergences: region of ∞ ly large loop momenta
 \leftrightarrow quantum fluctuations at ∞ ly small space-time distances
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 when describe physics at a given scale $\mu \rightsquigarrow$ renormalisation
- ▶ technically:
 1. **regulate**: artificial change of theory making div. terms finite
 - physically intuitive: momentum cutoff
 - in practice: dimensional regularisation
 2. **renormalise**: absorb UV effects into
 - coupling constant $\alpha_s(\mu)$
 - quark masses $m_q(\mu)$
 - quark and gluon fields (wave function renormalisation)
 3. **remove regulator**: quantities are finite when expressed in terms of renormalised parameters and fields
- ▶ **renormalisation scheme**: choice of which terms to absorb
 “ ∞ ” is as good as “ $\infty + \log(4\pi)$ ”

Dimensional regularisation in a nutshell

- ▶ choice of regulator \approx choice between evils
- ▶ dim. reg.: little (any?) physics intuition, but keeps intact essential symmetries (gauge and Lorentz invariance)
- ▶ idea: integrals for Feynman graphs become UV finite in lower space-time dimension, e.g.

$$\int \frac{d^D k}{(2\pi)^D} \frac{1}{k^2 - m^2} \frac{1}{(k-p)^2 - m^2}$$

log. div. for $D = 4$
converg. for $D = 3, 2, 1$

- ▶ procedure:
 1. formulate theory in D dimensions (with D small enough)
 2. analytically continue results from integer to complex D
original divergences appear as poles in $1/\epsilon$ ($D = 4 - 2\epsilon$)
 3. renormalise
 4. take $\epsilon \rightarrow 0$

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- ▶ enter: a mass scale μ
 - coupling in $4 - 2\epsilon$ dimensions is $\mu^\epsilon g$ with g dimensionless
needed to get dimensionless action $\int d^D x \mathcal{L}$
 - any other regularisation introduces a mass parameter as well
- \rightsquigarrow renormalised quantities depend on μ

Renormalisation group equations (RGE)

- ▶ scale dependence of renormalised quantities described by differential equations:

$$\frac{d}{d \log \mu^2} \alpha_s(\mu) = \beta(\alpha_s(\mu))$$
$$\frac{d}{d \log \mu^2} m_q(\mu) = m_q(\mu) \gamma_m(\alpha_s(\mu))$$

- ▶ $\beta, \gamma_m =$ perturbatively calculable functions
in region where $\alpha_s(\mu)$ is small enough

$$\beta = -b_0 \alpha_s^2 [1 + b_1 \alpha_s + b_2 \alpha_s^2 + b_3 \alpha_s^3 + \dots]$$
$$\gamma_m = -c_0 \alpha_s [1 + c_1 \alpha_s + c_2 \alpha_s^2 + c_3 \alpha_s^3 + \dots]$$

coefficients known including b_4, c_4

(b_4 since 2016)

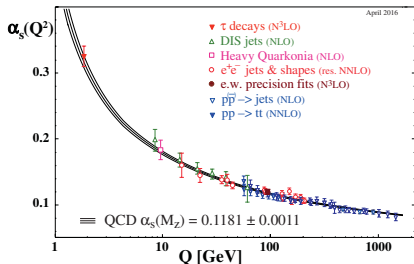
$$b_0 = \frac{1}{4\pi} \left(11 - \frac{2}{3} n_F \right) \qquad c_0 = \frac{1}{\pi}$$

The running of α_s

- ▶ $\beta_{\text{QCD}} < 0$
 $\Rightarrow \alpha_s(\mu)$ decreases with μ



Nobel prize 2004 for
Gross, Politzer and Wilczek



plot: Review of Particle Properties 2018

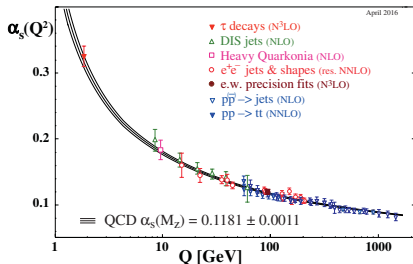
- asymptotic freedom at large μ
- perturbative expansion becomes invalid at low μ
 quarks and gluons are strongly bound inside hadrons: confinement
 momenta below 1 GeV \leftrightarrow distances above 0.2 fm

The running of α_s

- ▶ truncating $\beta = -b_0 \alpha_s^2 (1 + b_1 \alpha_s)$ get

$$\alpha_s(\mu) = \frac{1}{b_0 L} - \frac{b_1 \log L}{(b_0 L)^2} + \mathcal{O}\left(\frac{1}{L^3}\right)$$

$$\text{with } L = \log \frac{\mu^2}{\Lambda_{\text{QCD}}^2}$$



plot: Review of Particle Properties 2018

- **dimensional transmutation:**
mass scale Λ_{QCD} not in Lagrangian, reflects quantum effects
- more detail \rightsquigarrow blackboard

Scale dependence of observables

- ▶ observables computed in perturbation theory depend on renormalisation scale μ

- implicitly through $\alpha_s(\mu)$
- explicitly through terms $\propto \log(\mu^2/Q^2)$
where Q = typical scale of process

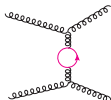
e.g. $Q = p_T$ for production of particles with high p_T

$Q = M_H$ for decay Higgs \rightarrow hadrons

$Q =$ c.m. energy for $e^+e^- \rightarrow$ hadrons

- ▶ μ dependence of observables must cancel at accuracy of the computation

see how this works \rightsquigarrow blackboard



Scale dependence of observables

- ▶ for generic observable C have expansion

$$C(Q) = \alpha_s^n(\mu) \left[C_0 + \alpha_s(\mu) \left\{ C_1 + nb_0 C_0 \log \frac{\mu^2}{Q^2} \right\} + \mathcal{O}(\alpha_s^2) \right]$$

- ▶ **Exercise:** check that this satisfies

$$\frac{d}{d \log \mu^2} C = \mathcal{O}(\alpha_s^{n+2})$$

⇒ residual scale dependence when truncate perturbative series

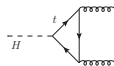
- ▶ at higher orders:

$\alpha_s^{n+k}(\mu)$ comes with up to k powers of $\log(\mu^2/Q^2)$

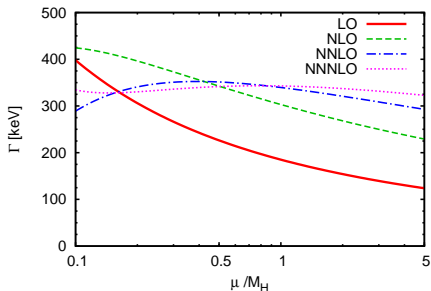
- choose $\mu \sim Q$ so that $\alpha_s \log(\mu/Q) \ll 1$
otherwise higher-order terms spoil series expansion

Example

- ▶ inclusive hadronic decay of Higgs boson via top quark loop (i.e. without direct coupling to b quark)
- ▶ in perturbation theory: $H \rightarrow 2g$, $H \rightarrow 3g$, ... known to N^3LO



Baikov, Chetyrkin 2006



- ▶ scale dependence decreases at higher orders
- ▶ scale variation by factor 2 up- and downwards often taken as estimate of higher-order corrections
- ▶ choice $\mu < M_H$ more appropriate

Quark masses

- ▶ recall: α_s and m_q depend on **renormalisation scheme**
 - standard in QCD: $\overline{\text{MS}}$ scheme \rightsquigarrow running $\alpha_s(\mu)$ and $m_q(\mu)$
 - for heavy quarks c, b, t can also use **pole mass**
 - def. by condition: quark propagator has pole at $p^2 = m_{\text{pole}}^2$
 - possible in perturbation theory, but in nature quarks confined
- scheme transformation:

$$m_{\text{pole}} = m(\mu) \left[1 + \frac{\alpha_s(\mu)}{\pi} \left(\frac{4}{3} - \log \frac{m^2(\mu)}{\mu^2} \right) + \mathcal{O}(\alpha_s^2) \right]$$

- ▶ $\overline{\text{MS}}$ masses from **Review of Particle Properties 2018**

$$m_u = 2.2_{-0.4}^{+0.5} \text{ MeV} \quad m_d = 4.7_{-0.3}^{+0.5} \text{ MeV} \quad m_s = 95_{-3}^{+9} \text{ MeV}$$

at $\mu = 2 \text{ GeV}$

$$\overline{m}_c = 1.275_{-0.035}^{+0.025} \text{ GeV} \quad \overline{m}_b = 4.18_{-0.03}^{+0.04} \text{ GeV} \quad \overline{m}_t = 160_{-4}^{+5} \text{ GeV}$$

with $m_q(\mu = \overline{m}_q) = \overline{m}_q$

Summary of part 1: renormalisation

- ▶ beyond all technicalities reflects physical idea:
eliminate details of physics at scales \gg scale Q of problem
- ▶ running of $\alpha_s \rightsquigarrow$ characteristic features of QCD:
 - asymptotic freedom at high scales \rightsquigarrow use perturbation theory
 - strong interactions at low scales \rightsquigarrow need other methods
 - introduces mass scale Λ_{QCD} into theory
- ▶ dependence of observable on μ governed by RGE
reflects (and estimates) **particular** higher-order corrections
... but not all