

QCD and hadron structure

Part 5: TMDs

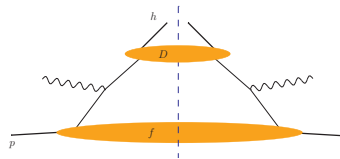
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Ecole Joliot Curie 2018



Measured transverse momentum



► consider

- Drell-Yan with measured small q_T of γ^*
- SIDIS with measured small q_T of hadron
- $e^+e^- \rightarrow h_1 h_2 + X$ with h_1, h_2 approx. opposite momenta and small relative q_T

► $k_T \sim m$ from collinear graphs matters in final state

- can still neglect parton k_T in **hard scattering**
- but **do not** $\int d^2 k_T$ in parton densities and fragm. fcts.
 $\rightsquigarrow k_T$ dependent/unintegrated PDFs
 also called TMDs (transverse-momentum distributions)

► theoretical framework: **TMD factorization**

- also called k_T factorization
different from (but related to) k_T factorization at small x

k_T dependent parton densities

k_T integrated:

$$f_1(x) = \int \frac{dz^-}{4\pi} e^{iz^- p^+ x} \langle p, s | \bar{q}(0) \gamma^+ W(0, \infty) W(\infty, z^-) q(z^-) | p, s \rangle \Big|_{z^+=0, z_T=0}$$

k_T dependent:

$$\int \frac{dz^-}{4\pi} \frac{d^2 z_T}{(2\pi)^2} e^{iz^- p^+ x} e^{-i\vec{k}_T \vec{z}_T} \langle p, s | \bar{q}(0) \gamma^+ W(0, \infty) W(\infty, z^-, \vec{z}_T) q(z^-, \vec{z}_T) | p, s \rangle \Big|_{z^+=0}$$

- fields at different transv. positions
implications on Wilson lines → later

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k_T dependent:

$$\begin{aligned} & \int \frac{dz^-}{4\pi} \frac{d^2 z_T}{(2\pi)^2} e^{iz^-p^+x} e^{-i\vec{k}_T \vec{z}_T} \langle p, s | \bar{q}(0) \gamma^+ W(0, \infty) W(\infty, z^-, \vec{z}_T) q(z^-, \vec{z}_T) | p, s \rangle \Big|_{z^+=0} \\ &= f_1(x, \vec{k}_T^2) - \frac{\epsilon^{ij} k_T^i s_T^j}{m} f_{1T}^\perp(x, \vec{k}_T^2) \end{aligned} \quad \begin{aligned} \epsilon^{12} &= -\epsilon^{21} = 1 \\ \epsilon^{11} &= \epsilon^{22} = 0 \end{aligned}$$

- fields at different transv. positions
implications on Wilson lines $\rightarrow$ later
- correlations between spins and transv. momentum
e.g. Sivers function $f_{1T}^\perp$

A zoo of distributions

- ▶ collinear twist 2 densities:

f_1 unpol. quark in unpol. proton

g_1 correlate s_L of quark with S_L of proton

h_1 correlate s_T of quark with S_T of proton

- ▶ k_T dependent twist 2 densities:

f_1, g_1, h_1 as above

$f_{1T}^\perp$ correlate k_T of quark with S_T of proton (Sivers)

$h_1^\perp$ correlate k_T and s_T of quark (Boer-Mulders)

$g_{1T}, h_{1T}^\perp, h_{1L}^\perp$ three more densities

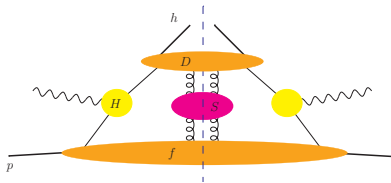
- ▶ analogous for fragmentation functions:

- ▶ $f_1 \leftrightarrow D_1$ unpolarized

- ▶ $h_1^\perp \leftrightarrow H_1^\perp$ Collins fragm. fct.

TMD factorization (SIDIS as example)

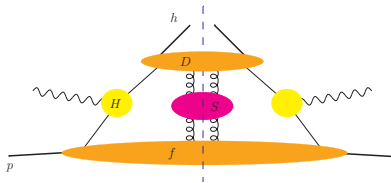
- ▶ take Q large and q_T small ($\sim m$ for power counting purposes)



- ▶ transverse-momentum dep't distribution and fragmentation fcts.
- ▶ only virtual corrections to hard subgraph
no radiation of high- p_T partons allowed

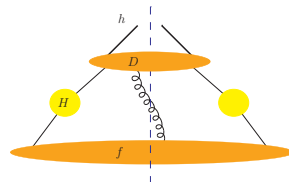
TMD factorization (SIDIS as example)

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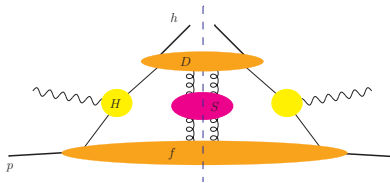
- ▶ soft gluon exchange does **not** cancel in sum over hadronic final state at leading-power accuracy gives **soft factor** in factorization formula

S = universal non-perturbative fct
 $\rightarrow 1$ when integrate over k_T
 cancellation between real



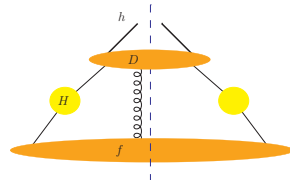
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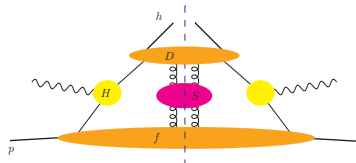
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- ▶ soft gluon exchange does **not** cancel in sum over hadronic final state at leading-power accuracy gives **soft factor** in factorization formula

S = universal non-perturbative fct
 $\rightarrow 1$ when integrate over k_T
 cancellation between real
 and virtual graphs

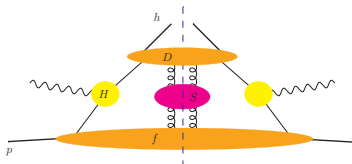


SIDIS at low q_T 

- factorization formula

$$\frac{d\sigma_{\gamma^* p}}{dz dq_T^2} = (\text{kin. fact.}) \times |H(\mu)|^2 \int d^2 p_T d^2 k_T d^2 l_T \delta^{(2)}(\vec{p}_T - \vec{k}_T + \vec{l}_T + \vec{q}_T) \\ \times \sum_{i=q, \bar{q}} e_i^2 f^i(x, p_T, \zeta, \mu) D^i(z, k_T, \zeta_h, \mu) S(l_T, \mu)$$

- no $\int d^2 k_T$ in parton densities $\rightsquigarrow$ **no** DGLAP type evolution !!
- evolution in **rapidity** parameters ζ, ζ_h with $\zeta \zeta_h = Q^2$
 $\rightsquigarrow$ Collins-Soper equation $\rightsquigarrow$ **Sudakov factor** $\rightsquigarrow$ **later slide**
- various azimuthal and spin asymmetries

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- simplifies if Fourier transform

$$f(p_T) \rightarrow f(b), D(k_T) \rightarrow D(b), S(l_T) \rightarrow S(b):$$

$$\frac{d\sigma_{\gamma^* p}}{dz dq_T^2} = (\text{k.f.}) \times |H(\mu)|^2 \int d^2 b e^{-i\vec{b}\vec{q}_T} \sum_{i=q, \bar{q}} e_i^2 f^i(x, b, \zeta, \mu) D^i(z, b, \zeta_h, \mu) S(b, \mu)$$

note: b here not the same as b in GPDs (will later call z)

- redefine f and D to each absorb factor $\sqrt{S}$

SIDIS at low q_T

- ▶ Collins-Soper equation and RGE for f (same for D):

$$\frac{d}{d \ln \sqrt{\zeta}} f(x, b, \zeta, \mu) = K(b, \mu) f(x, b, \zeta, \mu)$$

$$\frac{d}{d \ln \mu} f(x, b, \zeta, \mu) = \gamma_F(\zeta, \mu) f(x, b, \zeta, \mu) \quad (\text{no } x \text{ integral as in DGLAP eq.})$$

- ▶ “cusp anomalous dimension”

$$\frac{dK(b, \mu)}{d \ln \mu} = \frac{d\gamma_F(\zeta, \mu)}{d \ln \sqrt{\zeta}} = -\gamma_K(\mu) = -C_F \frac{2\alpha_s(\mu)}{\pi} + \dots$$

$$C_F = \frac{N_c^2 - 1}{2N_c}$$

SIDIS at low q_T

- Collins-Soper equation and RGE for f (same for D):

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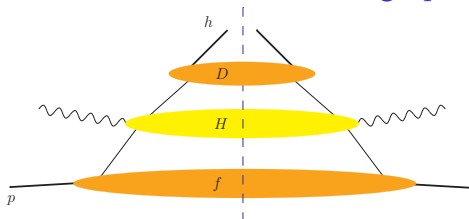
$$\frac{d}{d \ln \mu} f(x, b, \zeta, \mu) = \gamma_F(\zeta, \mu) f(x, b, \zeta, \mu) \quad (\text{no } x \text{ integral as in DGLAP eq.})$$

- solution:

$$\frac{f(x, b, \zeta, \mu)}{f(x, b, \zeta_0, \mu_0)} = \exp \left\{ - \int_{\mu_0}^{\mu} \frac{d\mu'}{\mu'} \left[\gamma_K(\mu') \ln \frac{\sqrt{\zeta}}{\mu'} - \gamma_F(\mu'^2, \mu') \right] + K(b, \mu_0) \ln \frac{\sqrt{\zeta}}{\sqrt{\zeta_0}} \right\}$$

- $\exp\{\dots\}$ = Sudakov factor
- in exponent have “double logarithms” $\ln^2(\mu/\mu_0)$ for $\zeta \sim \mu^2$
in cross section set $\sqrt{\zeta} \sim \mu \sim Q$ and $\sqrt{\zeta_0} \sim \mu_0 \sim q_T$
- $K(b, \mu)$ calculable in pert. theory **only if** b is small
need to interpolate between small and large b

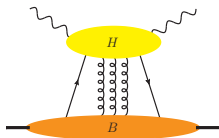
Compare with collinear factorization for large q_T



$$\frac{d\sigma_{\gamma^* p}}{dz dq_T^2} = (\text{kin. fact.}) \times \int_x^1 \frac{d\hat{x}}{\hat{x}} \int_z^1 \frac{d\hat{z}}{\hat{z}} \delta\left(\frac{q_T^2}{Q^2} - \frac{(1-\hat{x})(1-\hat{z})}{\hat{x}\hat{z}}\right) \\ \times \sum_{i,j=q,\bar{q},g} f_i\left(\frac{x}{\hat{x}}, \mu^2\right) D_j\left(\frac{z}{\hat{z}}, \mu^2\right) C_{ij}\left(\hat{x}, \hat{z}, \ln \frac{\mu^2}{Q^2}\right)$$

- ▶ C_{ij} start at $\mathcal{O}(\alpha_s)$, must emit partons recoiling against $\vec{q}_T$
- ▶ convolution in momentum fractions

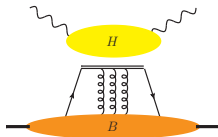
Wilson lines in short-distance factorization



- ▶ exchange of > 2 partons between H and B power suppressed
- ▶ **except for A^+ gluon exchange**
 $\rightsquigarrow$ resum to all orders

- ▶ $H^\mu(l)$ all components big
 $B^\mu(l) \propto p^\mu$ only plus-component big (for right-moving hadron)
 $\rightsquigarrow H_\mu B^\mu \approx H^- B^+$

Wilson lines in short-distance factorization



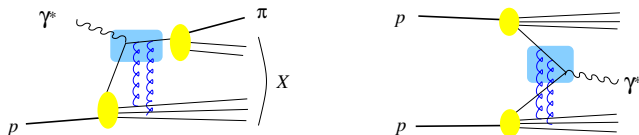
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- ▶ Ward identities $\rightsquigarrow$ gluons removed from H
in B obtain Wilson line $W(a, b) = \text{P exp} \left[ig \int_a^b dz^- A^+(z) \right]_{z^+=0, \vec{z}=\vec{0}}$

$$q(x) \propto \int dz^- e^{ixp^+ z^-} \langle p | \bar{q}(0) W(0, z) \gamma^+ q(z) | p \rangle \Big|_{z^+=0, \vec{z}=\vec{0}}$$

$\rightsquigarrow$ workshop session

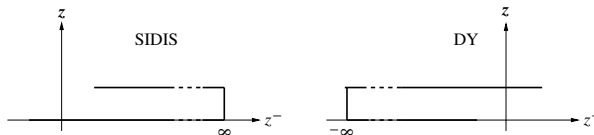
Wilson lines in TMDs



$$q(x, \vec{k}) \propto \int dz^- d^2 \vec{z} e^{ixp^+ z^-} e^{-i\vec{k} \cdot \vec{z}} \langle p | \bar{q}(0) W_P(0, z) \gamma^+ q(z) | p \rangle \Big|_{z^+=0}$$

- ▶ space-time structure of process $\rightsquigarrow$ path P in Wilson line
- ▶ SIDIS: interactions **after** quark struck by photon
DY: interactions **before** quark annihilates

Wilson lines in TMDs



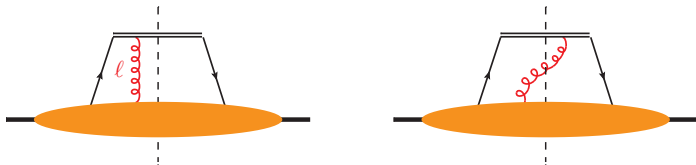
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- ▶ space-time structure of process $\rightsquigarrow$ path P in Wilson line
- ▶ SIDIS: interactions **after** quark struck by photon
DY: interactions **before** quark annihilates
- ▶ obtain “staple like” paths
 - Feynman gauge: pieces at $z^- \rightarrow \pm\infty$ not important
 - light-cone gauge $A^+ = 0$: straight sections $\rightarrow 1$
all effects from $z^- \rightarrow \pm\infty$

Rapidity divergences revisited

- ▶ arise as $\int_0^{\ell^+} d\ell^+ / \ell^+$ from region $\ell^+ \rightarrow 0$ at nonzero ℓ^-
 $\rightsquigarrow$ negative rapidity, should not be inside TMD
- ▶ need to regulate $\rightsquigarrow$ rapidity “cutoff” parameter ζ

$$\frac{d}{d \ln \sqrt{\zeta}} = \frac{d}{d(\text{rapidity})}$$



- ▶ $\int d^2 k_T$ divergences cancel between real and virtual graphs
 $\rightsquigarrow$ not present in usual PDFs (or GPDs)

Wilson line has physical consequences

- ▶ transverse proton polarization $\rightsquigarrow$ anisotropic $\vec{k}$ distribution

$$f_{q/p\uparrow}(x, \vec{k}) = f_1(x, \vec{k}^2) + \frac{(\vec{S} \times \vec{k}) \cdot \vec{p}}{m|\vec{p}|} f_{T1\perp}(x, \vec{k}^2)$$

- ▶ induces anisotropic p_T distribution in SIDIS (Sivers effect) **observed** experimentally
- ▶ time reversal changes sign of $(\vec{S} \times \vec{k}) \cdot \vec{p}$
 $\rightsquigarrow$ Sivers function = 0 ??

Wilson line has **physical** consequences

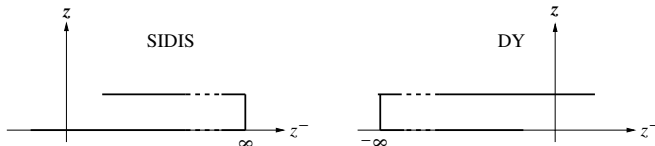
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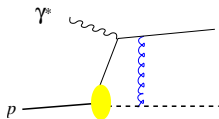
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- ▶ time reversal changes sign of $(\vec{S} \times \vec{k}) \cdot \vec{p}$
 $\rightsquigarrow$ Sivers function = 0 ??
- ▶ **no**: time reversal interchanges Wilson lines for SIDIS (**future pointing**) and DY (**past pointing**)

$$\rightsquigarrow f_{1T}^{\perp, \text{SIDIS}}(x, \vec{k}^2) = -f_{1T}^{\perp, \text{DY}}(x, \vec{k}^2)$$

J. Collins '02



Chromodynamic lensing



Siverts effect found in explicit model calculations

S. Brodsky, D.-S. Hwang, I. Schmidt '02

- ▶ same model relates anisotropies in
transv. momentum distribution $f_{q/p\uparrow}(x, \vec{k})$ and
impact parameter distribution $q_{q/p\uparrow}(x, \vec{b})$

Burkardt, Hwang '03; Lu, Schmidt '06; Meissner, Metz, Goeke '07

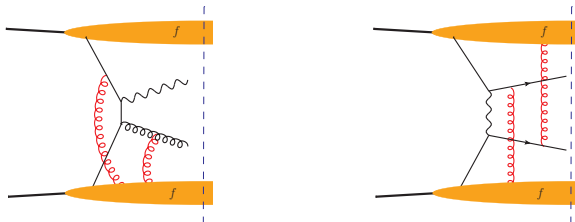
$$q_{q/p\uparrow}(x, \vec{b}) = q(x, \vec{b}^2) - \frac{(\vec{S} \times \vec{b}) \cdot \vec{p}}{m_p |\vec{p}|} \frac{\partial}{\partial \vec{b}^2} e_q(x, \vec{b}^2)$$

- ▶ anomalous magnetic moments κ_p, κ_n
 - $\rightsquigarrow$ signs of $\kappa_q = \int dx \int d^2\vec{b} e_q(x, \vec{b}^2)$
 - $\rightsquigarrow$ signs of Siverts functions for u and d quarks consistent with measurement

More complicated processes

- ▶ examples: $pp \rightarrow \gamma + \text{jet} + X$, $pp \rightarrow \pi + \text{jet} + X$
- ▶ more partons in initial and final state
 - ↪ more complicated Wilson lines
 - ↪ more parton densities and fragm. functions

Bomhof, Mulders, Pijlman, Buffing '04-'15



- ▶ two-loop analysis ↪ **breakdown** of TMD factorization

Mulders, Rogers '10

Relation between high- q_T and low- q_T descriptions

- for $q_T \gg m$ calc. k_T dependent densities from coll. ones:



$$f_1^i(x, k_T^2; \zeta, \mu) = \frac{1}{k_T^2} \sum_j \int_x^1 \frac{dx'}{x'} K^{ij} \left(\frac{x}{x'}, \ln \frac{k_T^2}{\zeta} \right) f_1^j(x'; \mu)$$

K closely related with DGLAP splitting functions P

Relation between high- q_T and low- q_T descriptions

- for $q_T \gg m$ calc. k_T dependent densities from coll. ones:



$$f_1^i(x, b_T^2; \zeta, \mu) = f_1^i(x; \mu) + \sum_j \int_x^1 \frac{dx'}{x'} \tilde{K}^{ij} \left(\frac{x}{x'}, \ln \frac{\mu^2}{\zeta}, \ln(\mu^2 b_T^2) \right) f_1^j(x'; \mu)$$

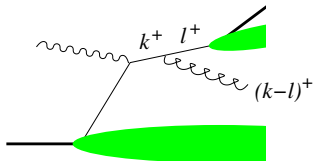
$\tilde{K}$ closely related with DGLAP splitting functions P

Comparison between high- q_T and low- q_T descriptions

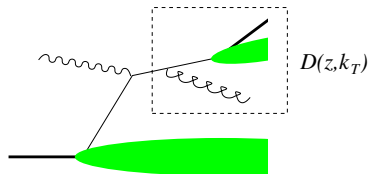
- ▶ collinear fact. requires $q_T \gg m$
 k_T fact. requires $q_T \ll Q$
 $\leadsto$ in region $m \ll q_T \ll Q$ both approaches are valid
- ▶ compare $q_T \gg m$ limit of k_T fact. result
with $q_T \ll Q$ limit of coll. fact. result
 $\leadsto$ full agreement for unpol. cross section
Collins, Soper, Sterman '85; Bacchetta et al. '08
- ▶ detailed comparison also for various spin asymmetries
e.g. Sivers asy. in SIDIS or Drell-Yan at low q_T (Sivers fct.) and
high q_T (Qiu-Sterman fct.)
Ji, Qiu, Vogelsang, Yuan '06; Koike, Vogelsang, Yuan '07

Correspondence at level of graphs

high- q_T calculation

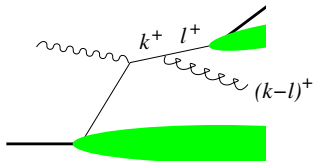


low- q_T calculation with $q_T \gg m$

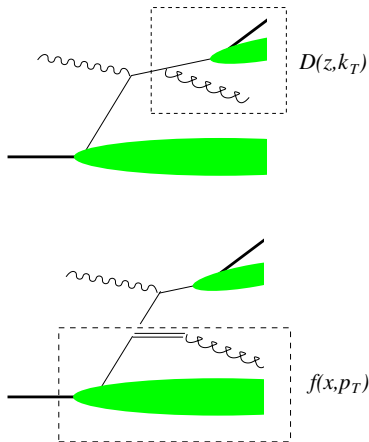


Correspondence at level of graphs

high- q_T calculation



low- q_T calculation with $q_T \gg m$



Transverse momentum vs. position

- ▶ variables related by 2d Fourier transforms, e.g.

- quark fields $\tilde{q}(\vec{k}, z^-) = \int d^2\vec{z} e^{i\vec{z}\vec{k}} q(\vec{z}, z^-)$
- proton states $|p^+, \vec{b}\rangle = \int d^2\vec{p} e^{-i\vec{b}\vec{p}} |p^+, \vec{p}\rangle$

- ▶ in bilinear operators

$$\bar{q}(\vec{k}) \tilde{q}(\vec{l}) = \int d^2\vec{y} d^2\vec{z} e^{-i(\vec{y}\vec{k} - \vec{z}\vec{l})} \bar{q}(\vec{y}) q(\vec{z})$$

$$\vec{y}\vec{k} - \vec{z}\vec{l} = \frac{1}{2}(\vec{y} + \vec{z})(\vec{k} - \vec{l}) + \frac{1}{2}(\vec{y} - \vec{z})(\vec{k} + \vec{l})$$

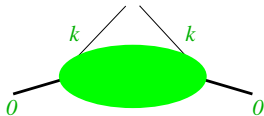
'average' transv. momentum $\leftrightarrow$ position **difference**

transv. momentum **transfer** $\leftrightarrow$ 'average' position

- ▶ 'average' transv. mom. and position **not** Fourier conjugate

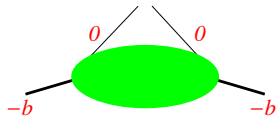
Mind the difference

TMDs



$$\int d^2\vec{z} e^{-i\vec{z}\vec{k}} \langle \vec{0} | \bar{q}(-\frac{1}{2}\vec{z}) \dots q(\frac{1}{2}\vec{z}) | \vec{0} \rangle$$

impact parameter distributions



$$\int d^2\vec{\Delta} e^{-i\vec{b}\vec{\Delta}} \langle -\frac{1}{2}\vec{\Delta} | \bar{q}(\vec{0}) \dots q(\vec{0}) | \frac{1}{2}\vec{\Delta} \rangle$$

(longitudinal variables not shown for simplicity)

Fourier conjugates:

average transv. **momentum**

$$q(x, \vec{k})$$

$\leftrightarrow$

difference of transv. **positions**

Wilson lines, Sudakov resummation, ...

difference of transv. **momenta**

$\leftrightarrow$

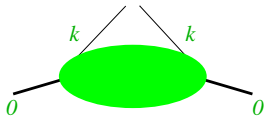
average transv. **position**

$$H(x, \vec{\Delta})_{\xi=0}$$

$$q(x, \vec{b})$$

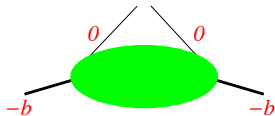
Mind the difference

TMDs



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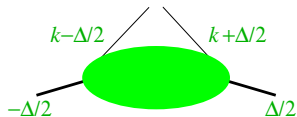
impact parameter distributions



$$\int d^2\vec{\Delta} e^{-i\vec{b}\vec{\Delta}} \langle -\frac{1}{2}\vec{\Delta} | \bar{q}(\vec{0}) \dots q(\vec{0}) | \frac{1}{2}\vec{\Delta} \rangle$$

more general:

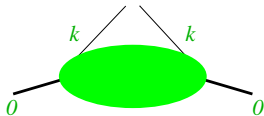
GTMDs



$$\int d^2\vec{z} e^{-i\vec{z}\vec{k}} \langle -\frac{1}{2}\vec{\Delta} | \bar{q}(-\frac{1}{2}\vec{z}) \dots q(\frac{1}{2}\vec{z}) | \frac{1}{2}\vec{\Delta} \rangle$$

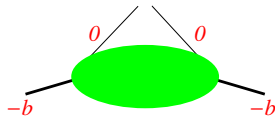
Mind the difference

TMDs



$$\int d^2\vec{z} e^{-i\vec{z}\vec{k}} \langle \vec{0} | \bar{q}(-\frac{1}{2}\vec{z}) \dots q(\frac{1}{2}\vec{z}) | \vec{0} \rangle$$

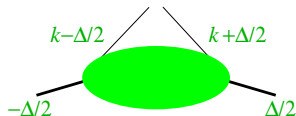
impact parameter distributions



$$\int d^2\vec{\Delta} e^{-i\vec{b}\vec{\Delta}} \langle -\frac{1}{2}\vec{\Delta} | \bar{q}(\vec{0}) \dots q(\vec{0}) | \frac{1}{2}\vec{\Delta} \rangle$$

more general:

GTMDs



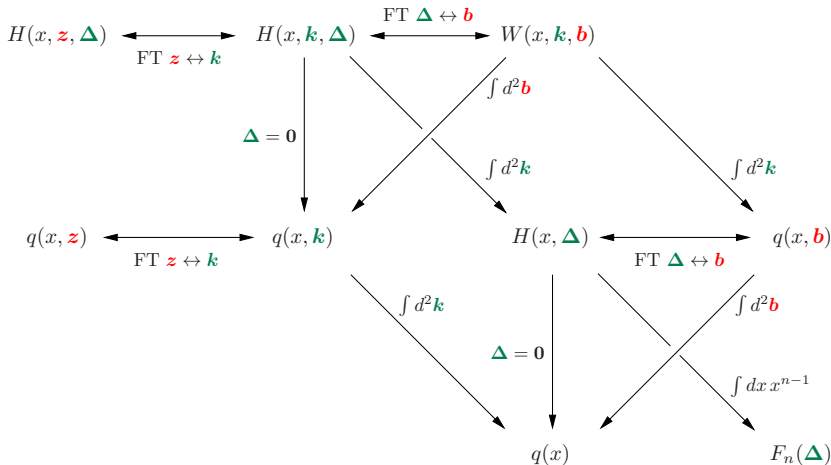
$$\int d^2\vec{z} e^{-i\vec{z}\vec{k}} \langle -\frac{1}{2}\vec{\Delta} | \bar{q}(-\frac{1}{2}\vec{z}) \dots q(\frac{1}{2}\vec{z}) | \frac{1}{2}\vec{\Delta} \rangle$$

Fourier transf. from $\vec{\Delta}$ to $\vec{b}$

$\rightsquigarrow$ Wigner functions

parton momentum and position
within limits of uncertainty rel'n

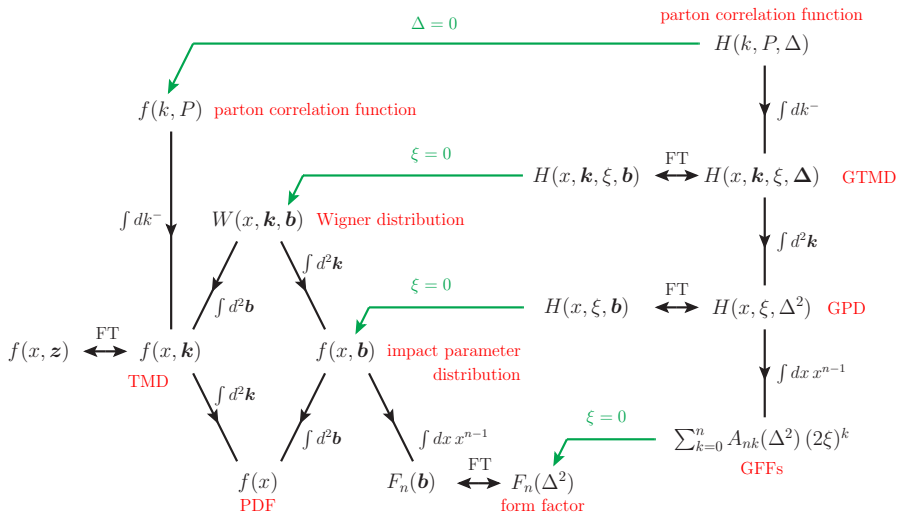
Relations



$\int d^2 \vec{k}$ needs UV regularization

GPDs taken at zero skewness $\xi = 0$
 $\vec{k}$ dep't functions have ζ dep'ce

More relations



$\int d^2 \vec{k}$ needs UV regularization

$\vec{k}$ dep't functions have ζ dep'ce

- naive:

$$\int d^2\vec{k} q(x, \vec{k}) = q(x)$$

cannot be true because $q(x, \vec{k}) \sim 1/\vec{k}^2$ at large $\vec{k}$

- correct:

$$\int_{\vec{k}^2 < \mu^2} d^2\vec{k} q(x, \vec{k}; \zeta, \mu) = q(x; \mu) + \text{calculable terms of } \mathcal{O}(\alpha_s)$$

- Fourier trf. from $\vec{k}$ to $\vec{z}$:

instead of $\int_{\vec{k}^2 < \mu^2} d^2\vec{k}$ have $\int d^2\vec{k} e^{i\vec{k}\vec{z}}$ with $|\vec{z}| = 1/\mu$

oscillations suppress region $|\vec{k}| \gg 1/|\vec{z}|$

$$q(x, \vec{z}; \zeta, \mu) = q(x; \mu) + \text{calculable terms of } \mathcal{O}(\alpha_s)$$

see earlier slide

Relation with orbital angular momentum

- ▶ in GPD lecture have seen two different definitions of orbital angular quark momentum
- ▶ construction using Wigner functions:

$$L^z = \int dx \int d^2\vec{k} d^2\vec{b} (\vec{b} \times \vec{k})^z W(x, \vec{k}, \vec{b})$$

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- ▶ corresponds to classical intuition
- ▶ but: need Wilson line between fields $\bar{q}(-\frac{1}{2}z)$ and $q(\frac{1}{2}z)$ in W
 - staple-like path $\rightsquigarrow \mathcal{L}_{\text{Bashinski, Jaffe}}^q$
 - straight-line path $\rightsquigarrow L_{\text{ji}}^q$

dynamical interpretation of difference:

$\mathcal{L}$ includes effects of initial/final state interactions M Burkardt '13

Summary of part 5

- ▶ TMD factorisation for measured $p_T \ll$ hard scale
- ▶ important differences with collinear factorisation, different **evolution**
- ▶ subtle dynamical effects due to gluons $\rightsquigarrow$ **Wilson lines**
- ▶ valid for restricted class of processes
for some cases smooth theoretical transition to high p_T regime
- ▶ theoretically controlled access to **transverse parton momentum**
- ▶ **Wigner functions**: unifying framework for describing transverse momentum and position